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Hanoi, July 30th, 2024

TRANSLATION

REPORT ON CORPORATE GOVERNANCE

(For the first half of 2024)

- Respectfully to: - The State Securities Commission;
- Vietnam Stock Exchange;
- Ho Chi Minh City Stock Exchange;
- Hanoi Stock Exchange.

Company name : Vietnam JS Commercial Bank for Industry & Trade
Address of Head Office : No. 108 Tran Hung Dao Str., Hoan Kiem District, Hanoi
Telephone : +84 24 3942 1030
Email : investor@vietinbank.vn
Charter capital : 53,699,917,480,000 VND
Ticker symbol : CTG
Governance model : General Meeting of Shareholders (GMS), Board of Directors (BoD), Supervisory Board (SB) and General Director
The implementation of internal audit : Implemented

I. ACTIVITIES OF THE GENERAL MEETING OF SHAREHOLDERS (GMS)

No.	Resolution	Date	Content
1	27/NQ-ĐHĐCĐ	27/04/2024	Resolution of 2024 and term 2024-2029 GMS of VietinBank approved the following contents: 1. Report of the BoD summarizing 2019-2024 term and orientation activities for 2024-2029 term; Report of the SB summarizing 2019-2024 term and orientation activities for 2024-2029 term; 2. Report of the BoD on performance in 2023 and development orientation in 2024; Report of the Board of Management (BoM) on business performance in 2023, orientation and plan for 2024; Report of the SB on 2023 activities and orientation in 2024; 3. 2023 audited Financial statements (FS) of VietinBank

No.	Resolution	Date	Content
			<p>prepared in accordance with Vietnamese accounting standards and Vietnamese accounting system for credit institutions as at 31/12/2023 and for the period from 01/01/2023 to 31/12/2023;</p> <ol style="list-style-type: none"> 4. The authorization for VietinBank's BoD to decide to select an independent audit firm which meets the conditions specified in Circular 39/2011/TT-NHNN, Circular 24/2021/TT-NHNN of the SBV and its amendments/supplement/replacement (if any) at the time of making the decision to select an independent audit organization to review the semi-annual FS, audit the FS and the operation of the internal control system of VietinBank in 2025, by selecting from those independent audit organizations: KPMG Vietnam Company Limited; Ernst & Young Vietnam Company Limited; Deloitte Vietnam Company Limited; PwC Vietnam Company Limited; 5. The profit distribution plan for 2023 which VietinBank is submitting to competent State Authority; 6. The remuneration amount for the BoD and the SB in 2024 up to 0.25% of 2024 profit after tax of VietinBank; 7. The listing of VietinBank's public offering bonds issued in 2024 on Hanoi Stock Exchange (HNX) after the completion of bond offerings; 8. The addition of activities of providing clearing and settlement services for securities transactions to VietinBank's operations; 9. The authorization to VietinBank's BoD based on Law on Credit Institutions No.32/2024/QH15, the current provisions of Laws and guiding documents on the financial regime applicable for credit institutions, foreign bank's branches to promulgate, amend and supplement the financial regulation of VietinBank upon the approval of the SBV; 10. The amendments and supplements to VietinBank Charter; 11. The amendments and supplements to Organization and Operation of the BoD; 12. The amendments and supplements to Organization and

No.	Resolution	Date	Content
			<p>Operation of the SB;</p> <p>13. The Proposal of Restructuring plan associated with bad debts handling of VietinBank from 2021 to 2025;</p> <p>14. The selection of VietinBank's personnel for 2024-2029 term:</p> <p>(i) List of elected members of the BoD: Mr. Tran Minh Binh, Mr. Tran Van Tan, Mr. Le Thanh Tung, Mr. Nguyen The Huan, Ms. Pham Thi Thanh Hoai, Mr. Nguyen Duc Thanh, Mr. Cat Quang Duong, Mr. Koji Iriguchi, Mr. Takeo Shimotsu;</p> <p>(ii) List of elected members of the SB: Ms. Le Anh Ha, Ms. Nguyen Thi Anh Thu, Ms. Pham Thi Thom.</p>

II. BOARD OF DIRECTORS

1. Information on members of the BoD

No.	BoD members	Position	Date becoming/ ceasing to be BoD member	
			Date of appointment	Date of dismissal
1	Mr. Tran Minh Binh	Chairman of the BoD, Legal Representative	08/12/2018	
2	Mr. Tran Van Tan	Board member	23/04/2019	
3	Mr. Le Thanh Tung	Board member	03/11/2021	
4	Ms. Tran Thu Huyen	Board member	24/07/2014	23/04/2024
5	Mr. Nguyen The Huan	Board member	23/04/2019	
6	Ms. Pham Thi Thanh Hoai	Board member	23/04/2019	
7	Mr. Nguyen Duc Thanh	Board member	29/04/2022	
8	Mr. Koji Iriguchi	Board member cum Deputy Director	02/06/2023	
9	Mr. Masashige Nakazono	Board member	16/04/2021	27/04/2024
10	Mr. Nguyen Thi Bac	Independent Board member	23/05/2020	27/04/2024
11	Mr. Takeo Shimotsu	Board member	27/04/2024	
12	Mr. Cat Quang Duong	Independent Board member	27/04/2024	

Notes:

- From 01/01/2024 to the end of 22/04/2024: VietinBank's BoD for term 2019-2024 includes 10 members: Mr. Tran Minh Binh – Chairman of the BoD, Mr. Tran Van Tan – Board member, Mr.

Le Thanh Tung – Board member, Ms. Tran Thu Huyen – Board member, Mr. Nguyen The Huan – Board member, Ms. Pham Thi Thanh Hoai – Board member, Mr. Nguyen Duc Thanh – Board member, Mr. Koji Iriguchi – Board member cum Deputy General Director, Mr. Masashige Nakazono – Board member and Ms. Nguyen Thi Bac – Independent Board member.

- *From 23/04/2024 to the end of 26/04/2024: VietinBank’s BoD for term 2019-2024 includes 09 members: Mr. Tran Minh Binh – Chairman of the BoD, Mr. Tran Van Tan – Board member, Mr. Le Thanh Tung – Board member, Mr. Nguyen The Huan - Board member, Ms. Pham Thi Thanh Hoai – Board member, Mr. Nguyen Duc Thanh – Board member, Mr. Koji Iriguchi – Board member cum Deputy General Director, Mr. Masashige Nakazono – Board member and Ms. Nguyen Thi Bac – Independent Board member.*
- *From 27/04/2024 to the end of 30/06/2024: VietinBank’s BoD for term 2024-2029 includes 09 members: Mr. Tran Minh Binh – Chairman of the BoD, Mr. Tran Van Tan – Board member, Mr. Le Thanh Tung – Board member, Mr. Nguyen The Huan - Board member, Ms. Pham Thi Thanh Hoai – Board member Mr. Nguyen Duc Thanh – Board member, Mr. Koji Iriguchi – Board member cum Deputy General Director, Mr. Takeo Shimotsu – Board member and Mr. Cat Quang Duong – Independent Board member.*

2. Meetings of the BoD

In 2024, VietinBank’s BoD held meetings to evaluate business results and provide guidelines, directions as well as discuss a number of issues and topics for corporate governance and approved other issues under the authority of BoD in the form of collecting written opinions of Board members, specifically as follows:

No	BoD members	Number of meetings attended	Percentage	Reason for absence
1	Mr. Tran Minh Binh <i>Chairman of the BoD</i>	178/178	100%	
2	Mr. Tran Van Tan <i>Board member</i>	178/178	100%	
3	Mr. Le Thanh Tung <i>Board member</i>	178/178	100%	
4	Ms. Tran Thu Huyen <i>Board member (1)</i>	107/178	60%	Neither attend BoD meetings nor give written opinions since 23/04/2024 (ceased to be Board member)
5	Mr. Nguyen The Huan <i>Board member</i>	178/178	100%	
6	Ms. Pham Thi Thanh Hoai <i>Board member</i>	178/178	100%	
7	Mr. Nguyen Duc Thanh <i>Board member</i>	178/178	100%	

No	BoD members	Number of meetings attended	Percentage	Reason for absence
8	Mr. Koji Iriguchi <i>Board member cum Deputy General Director</i>	177/178	99%	Absent from 1 BoD meeting (05/04/2024) for personal reasons.
9	Mr. Masashige Nakazono <i>Board member (2)</i>	110/178	62%	- Absent from 1 BoD meeting (05/04/2024) for personal reasons. - Neither attend BoD meetings nor give written opinions since 27/04/2024 (ceased to be Board member)
10	Ms. Nguyen Thi Bac <i>Independent Board member (2)</i>	111/178	62%	- Neither attend BoD meetings nor give written opinions since 27/04/2024 (ceased to be Board member)
11	Mr. Takeo Shimotsu <i>Board member (3)</i>	67/178	38%	- Neither attend BoD meetings nor give written opinions before 27/04/2024 (Not Board member yet)
12	Mr. Cat Quang Duong <i>Independent Board member (3)</i>	67/178	38%	- Neither attend BoD meetings nor give written opinions before 27/04/2024 (Not Board member yet)

Notes:

- (1) Ms. Tran Thu Huyen attended BoD meetings and gave written opinions from 01/01/2024 to the end of 22/04/2024.
 - (2) Mr. Masashige Nakazono and Ms. Nguyen Thi Bac attended BoD meetings and gave written opinions from 01/01/2024 to the end of 26/04/2024.
 - (3) Mr. Takeo Shimotsu and Mr. Cat Quang Duong attended BoD meetings and have given written opinions from 27/04/2024 (starting date of being Board member).
- The total number of meetings of the BoD in the first half of 2024 is 178, including 05 in-person meetings and 173 times of written opinions.
 - Mr. Koji Iriguchi is a residential Board member; Mr. Masashige Nakazono and Mr. Takeo Shimotsu are non-residential Board members.

3. Supervision by the BoD over the BoM

Supervisory activities of the BoD over the BoM are carried out in accordance with the Regulations on supervisory activities of the BoD. Accordingly, Board Members, Committees under the BoD and the Supervisory Delegation help the BoD to carry out regular supervision activities according to the annual and extraordinary supervision plan; The Office of the BoD is the unit that

advises and assists the BoD in organizing and implementing supervisory activities of the BoD; individuals and units subject to the supervision are notified of the annual or ad-hoc supervision plan of the BoD, the assignment of supervisory duties of Board Members. On the basis of the annual or extraordinary supervision plans, the BoD conducts their supervision through the following forms:

- **Review of reports:** Board Members, Committees under the BoD review reports according to their assigned supervisory scope or under the direction of the Chairman of the BoD for specific reports. After reviewing the reports, Board Members, Committees under the BoD shall prepare their reports on supervision results and send them to the Chairman of the BoD or report on the results of supervision at the periodic and extraordinary meetings of the BoD.
- **Meeting with individuals and units under supervision:** The Chairman of the BoD or a Board Member participates in meetings with individuals and units under supervision. After the meeting, Board Members report to the Chairman of the BoD on arising issues related to the supervision content discussed in the meeting for handling, directing, or reporting to the BoD at regular or extraordinary meetings.
- **Supervision Delegation:** Based on the annual supervision plan approved by the BoD or when an unexpected request for supervision is made, the Chairman of the BoD decides to establish a Supervision Delegation to carry out supervision activities. The composition of the Supervisory Delegation includes the Head of the Delegation, who is the Chairman of the BoD or a Board member. After completing the monitoring activities, the Supervisory Delegation prepares a Monitoring Results Report to submit to the Chairman of the BoD. Based on that, the Chairman of the BoD decided to hold a meeting encompassing all Board members to review, discuss, and handle the petitions in Monitoring Results Report according to BoD's authority.

The inspection and supervision of the BoD in the first half of 2024 were extensively, closely and effectively implemented, contributing to improving the effectiveness of high-level supervision at VietinBank. In the first half of 2024, the BoD deployed 11 supervisory topics on the Bank's key activities including: (1) Credit granting activities, credit portfolio restructuring and system-wide debt quality management; (2) Topic of effective capital balance and financial management; (3) CASA, non-interest income, cross-selling efficiency and ecosystem exploitation (excluding subsidiary ecosystem), channel shifting; (4) Topic of priority customers; (5) Topic of written-off bad debt recovery, debt quality management and improving debt handling efficiency; (6) Topic of service quality; (7) Topic of labor productivity; (8) Capital construction, asset procurement and management of VietinBank; (9) Operations of branches in each region; (10) Topic of payment development; (11) Topic of promoting cross-selling activities of the ecosystem, enhancing coordination between divisions, branches and subsidiaries. Accordingly, each Board member, Committees under the BoD was assigned to carry out supervision in terms of topics, reporting to BoD on a monthly basis or according to specific requirements in the supervision plan.

In the first half of 2024, Board members have strengthened their role in orientation, supervision, and close coordination with members of BoM to promote business and continuously deploy PDCA process to ensure adherence to planned progress, quickly resolve and remove problems and difficulties for branches. Board members (according to the assigned scope of supervision) promptly captured and recorded information and promptly helped the BoD review and approve contents under

the authority to support the BoM and units to overcome problems in resolving bottlenecks during operations.

In addition, in the first half of 2024, the supervision activities of BoD continue to be enhanced by upgrading and improving the VietinBank BoD's Executive Monitoring System (EMS). Thanks to that, the Resolutions, Directives, and Conclusions of the BoD and tasks assigned by the BoD, Chairman of the BoD, and Board members are monitored, urged, and inspected according to regulations, ensuring quality and progress of the tasks.

In the coming time, the supervision of the BoD over the BoM will continue to focus on thematic fundamentals and key areas of the Bank's operation.

4. Activities of the BoD committees

VietinBank has **08 committees under the BoD**, namely Human Resource (HR) Committee, Risk Management Committee (RMC), Policy Committee, Strategy Committee, Asset-Liability (ALCO) Committee, Information Technology (IT) Committee and Service Quality (SQ) Committee, Contributed Capital Management (CCM) Committee. The establishment of these Committees complied with the regulations of the SBV and the provisions of law. The BoD assessed that in 2024, the Committees worked closely with relevant departments and units to fully, timely and effectively carry out their functions and duties according to their Regulations on Organization and Operation issued by the BoD respectively. Thereby, the Committees under the BoD helped the BoD to enhance the effectiveness of governance, the effectiveness of supervisory activities of the BoD towards units and individuals under the supervision of the BoD in the VietinBank system. Specific results are as follow:

- **HR Committee:** is an advisory and assisting agency for the BoD on dealing with issues under the BoD's authority in developing HR policies, recruiting, and arranging titles under the BoD's authority; assisting the BoD in supervising the implementation of HR mechanism and policies as well as HR management activities of VietinBank. In the first half of 2024, HR Committee advised and assisted the BoD with the consolidation of divisions and units aligned with the implementation of the Bank's development strategy: (i) Promulgating the functions and tasks of the Corporate Banking Division, Card Center and amending functions and tasks of the Treasury and Capital Market Division; (ii) Review the BMO model; (iii) Shifting the functions and tasks of some units (HR Training and Development School, IT Division) to the Purchasing & Assets Management Division, currently the units are deploying according to the roadmap); (iv) Credit approval expert model phase 2; (v) Report on the results of the merger of the administration department and the general department. At the same time, HR Committee also advised the BoD on continuing to implement the project of streamlining, innovating, improving the quality of VietinBank's human resources and achieving a number of results according to the set roadmap. Until now, VietinBank has not recorded any legal risk issues related to personnel review and classification. In addition, HR Committee has advised the BoD on other contents including: consolidating personnel under the authority of the BoD to manage units in the VietinBank system to ensure compliance with VietinBank's regulations and processes; comprehensively innovating the policy system on human resources and salaries; continuously recruiting management staffs on a large scale and had many breakthroughs (recruiting Director and Deputy Director of branches). In the last 6 months of 2024, HR Committee will continue to advise and assist the BoD in effectively implementing the project of streamlining, innovating, and improving the quality of

VietinBank's human resources while continuing to reform human resources and salary policies, consolidating the organizational model and personnel under the authority of the BoD in compliance with regulations.

- **RMC:** According to the regulations on organization and operation of the RMC, the RMC holds quarterly meetings/discussions and extraordinary meetings to discuss and agree on the contents of advice and proposals to the BoD in risk management. In the first half of 2024, the RMC actively advised the BoD on several risk management policies, guidelines and directions such as: (i) promoting credit orientation (controlling key customer groups, controlling off-site credit granting, ESG compliance); strictly control compliance with risk appetite and risk management strategy in the period 2024-2026; control debt quality and provisioning costs; improve the efficiency of debt classification, collection and handling; consolidate the system of documents and policies relating to risk management in accordance with the amended Law on Credit Institutions and other provisions of law; build and edit systems/tools to support risk management; promote IT risk management; strengthen measures to control and minimize fraud risks. At the same time, the RMC is preparing to organize the third seminar of RMCs at Vietnamese commercial banks (2024) with the theme of IT risk management, which is scheduled to take place in August 2024. Based on monitoring the risk status developments at VietinBank, the RMC has proposed and recommended to the BoD many important issues on risk management, ensuring the safety and effectiveness of VietinBank's business operations in compliance with legal regulations in the context that the economy is still facing many fluctuations both from outside and inside. At the same time, the RMC advised the BoD to implement key projects related to risk management activities, helping to minimize risks and maximize profits, aiming for safe, effective and sustainable business growth. In the coming time, the RMC will continue to promote and enhance its role in advising the BoD in implementing initiatives towards advanced international practices in risk management; strengthening supervision of the implementation of the directives of the RMC to ensure effective deployment of risk prevention measures, timely response in maintaining safe and effective business operations facing with fluctuations of the economy.
- **Policy Committee:** is the agency that advises and assists the BoD in ensuring the suitability, completeness, and synchronization of VietinBank Policy Documents. In the first half of 2024, the Policy Committee focused on: (i) Keeping abreast of changes in legal regulations (Law on Credit Institutions; Law on Land, Law on Housing, Law on Real Estate Business; drafts amending/replacing the SBV's circulars...), changes of market trends in digitalization, changes related to risk management at VietinBank to advise the BoD in guiding the identification, impact assessment and timely adjustment of internal regulations, ensuring compliance with the law while promoting business activities; (ii) Organize to review and research to implement and build a plan to lean processes in the VietinBank system according to the direction of the BoD with the aim of improving customer experience and minimizing unnecessary operational steps while ensuring risk control. In the last 6 months of 2024, the Policy Committee will continue to propose specific plans to lean processes in VietinBank system to submit to the BoD for consideration and approval of implementation.
- **Strategy Committee:** taking advisory role in assisting the BoD in formulating and supervising the implementation of VietinBank's strategies, medium-term plans, business plans and focal

points to resolve bottlenecks in VietinBank operations, thereby helping the BoD make timely decisions and directions. In the first half of 2024, Strategy Committee discussed and assessed the macro, industry environment and internal activities that affect the implementation of VietinBank strategy; supervised the execution of key topics in 2024 in both business and fundamental aspects; discussed bottlenecks in the business model and advise the BoD on solutions. In the coming time, the Strategy Committee will continue to promote and enhance its role in advising the BoD in monitoring and assessing the macroeconomic situation and impacts on banking operations; building and monitoring the implementation of major, central orientations of VietinBank and proposing solutions to promote the Bank's development pillars, including regular business activities associated with the digital transformation, development of banking ecosystem - subsidiaries and sustainable finance.

- **ALCO Committee:** taking advisory role in assisting the BoD for the issues under the authority of the BoD in terms of Asset-Liability Management. In the first half of 2024, in the context of many fluctuations in the domestic and international financial markets, ALCO Committee advised the BoD on directions and measures: *(i)* effectively optimize the portfolio of financial assets and liabilities; *(ii)* closely monitor developments in bank-wide risk indicators, advise the BoD on measures to manage liquidity risk, interest rate risk and market risk; *(iii)* guide VietinBank's interest rate policy for customers and internal capital transfer pricing policy, ensuring the suitable implementation of business objectives while ensuring the overall benefits of VietinBank. In the coming time, ALCO Committee will continue to closely monitor market developments to promptly advise the BoD to direct and take measures to improve the efficiency of VietinBank balance sheet, while ensuring risk control in compliance with internal regulations and regulations of competent State agencies.
- **IT Committee:** is the agency that advises and assists the BoD on IT-related issues of VietinBank, including approving strategies, IT application development plans, Board-level policy documents on IT activities, annual programs & investment and procurement plans and programs; monitoring and evaluating the tasks execution under approval authority and the effectiveness of IT activities and other related activities. In the first half of 2024, IT Committee hold periodic/thematic meetings, organized discussions, evaluated, directed, inspected the supervision and promoted IT activities, reported and recommended to the BoD on issues of capital plans and IT personnel; completed investment policies, management responsibilities, and monitored the effective use of IT assets; topics on data, security, ensuring system safety and security... In the coming time, IT Committee will continue to closely monitor and advise the BoD to direct and supervise the implementation of digital transformation initiatives in technology; IT risk management and information security of the Bank; investment and implementation of key projects; enterprise architecture management; innovation mechanism, improving VietinBank IT capacity.
- **SQ Committee:** is an agency that advises and assists the BoD in managing bank-wide service quality, improving capacity of operational foundation in link with the Bank's business strategy and sustainable development. The Committee focuses on research and advisory activities relating to planning service quality, key issues, topics and standards of service quality as well as projects to innovate and improve service quality for VietinBank. In the first half of 2024, SQ Committee held periodic meetings, reporting the results of service quality assessment and quality

management activities on all channels and customer touchpoints; analyzing the reasons; discussing and proposing solutions to resolve existing problems, thereby reporting and recommending to the BoD some guidance to improve service quality, aiming at customers as the center and standardizing quality management, promoting innovation in measurement activities aligned with the Bank's increasingly higher standards. In the coming time, SQ Committee will continue to enhance its advisory role to the BoD in orienting and building comprehensive service quality management activities in all aspects (from sales quality, products and services quality provided for customers, operational quality to customer care quality on all channels); additionally, strongly applying IT and digital transformation in improving processes and procedures to enhance the experience of external and internal customers, promoting the role of monitoring the results of implementing solutions of service quality improvement, enhancing customer satisfaction with VietinBank's products and services, contributing to promoting business activities and building a brand image for the Bank.

- **CCM Committee:** is the agency that advises and assists the BoD in managing, supervising and assessing the effectiveness of VietinBank's capital investment activities at subsidiaries and providing overall guidance to restructure, promote and increase VietinBank's capital contribution values in its subsidiaries. In the first half of 2024, CCM Committee held the first meeting to assess the current situation of units receiving capital contributions from VietinBank, identifying key bottlenecks that need to be resolved and advise the BoD on solutions. In the coming time, CCM Committee will continue to closely monitor and advise the BoD to develop strategic directions for VietinBank's capital contribution activities with the aim of both promoting safe and effective growth and enhancing the VietinBank's supervisory role to capital receiving units.

5. Resolutions of the BoD *(Please see details in Appendix 01)*

III. SUPERVISORY BOARD

1. Information on members of the SB

In the first half of 2024, VietinBank's SB did not have any changes in personnel. As of 30/06/2024, the SB of VietinBank for term 2024 - 2029 includes 3 following members:

No.	Members of SB	Position	Date becoming member of SB	Date ceasing to be member of SB	Qualification
1	Ms. Le Anh Ha	Chief	23/04/2019		Master of Economics and Public Management
2	Ms. Nguyen Thi Anh Thu	Member (full time)	23/04/2019		Master in Business Administration
3	Ms. Pham Thi Thom	Member (full time)	16/04/2021		Master in Banking and Finance

2. Meetings of the SB

In the first half of 2024, the SB held 06 meetings to carry out duties, discuss and give opinions on the contents within the scope of work, responsibilities and rights of the SB. Meeting attendance rate reached 100%, specifically:

No.	Members of SB	Number of meetings attended	Attendance rate	Voting rate	Reasons for absence
1	Ms. Le Anh Ha <i>Chief of the SB</i>	06/06	100%	100%	
2	Ms. Nguyen Thi Anh Thu <i>Member (full time)</i>	06/06	100%	100%	
3	Ms. Pham Thi Thom <i>Member (full time)</i>	06/06	100%	100%	

3. Surveillance activities of the SB toward the BoD, BoM and shareholders

In the first half of 2024, the SB implemented the tasks and rights set forth in VietinBank's Charter and Regulations on organization and operation of the SB. The SB supervised the BoD and BoM in compliance with the law, VietinBank's Charter in governance. In general, the management and administration activities of BoD and BoM have complied with the provisions of the Law on Credit Institutions, the Charter of VietinBank, implemented in accordance with the business orientation and objectives approved by the GMS.

The supervision of the SB over the BoD, the BoM was implemented through the followings:

- Supervising the BoD and Deputy General Director in-charge of BoM in the management and administration of VietinBank (including checking the rationality and prudence in business management and administration; appraising the adequacy, legitimacy and truthfulness of the report on evaluation of the management of the BoD);
- High-level supervision for internal audit;
- Appraisal of VietinBank's 2023 financial statements (including checking the systematicity, consistency and suitability of accounting, statistical and financial statement preparation);
- Updating the list of major shareholders and affiliated persons of members of the BoD, the SB and the BoM of VietinBank;
- Reviewing contracts, transactions between VietinBank and related persons under the approval authority of the BoD or the GMS.

The results of several specific tasks are as follows:

3.1. Supervising the BoD and General Director in the management and administration of VietinBank

The SB has supervised the BoD and Deputy General Director in-charge of BoM in complying with the provisions of the Law and the Bank's Charter in governance and administration in order to comply with financial orientation and goals approved by the GMS. Based on the monitoring results, basically, the BoD and Deputy General Director in-charge of BoM directed and operated VietinBank's business activities in accordance with the objectives and complied with the provisions of the Law on Credit Institutions and the Bank's Charter. The SB carried out regular and continuous monitoring activities through the following specific actions:

- *Supervise the implementation of resolutions of the GMS, update information on business activities of VietinBank, participate and exchange opinions at BoD's, BoM's meetings:* The SB regularly monitored the implementation of resolutions of the GMS, attended and expressed

opinions at periodic meetings of the BoD, briefings of the BoM. In these meetings, the SB gave independent opinion and views on business performance data (compared with targets assigned by the GMS); assessing shortcomings, risks in business operations, governance and risk management of VietinBank, then, recommending solutions to help the BoD and BoM fulfill the plan assigned by the GMS and tasks need implementing to overcome shortcomings. At the same time, regarding issues that need attention and strengthened control, the SB made specific recommendations to improve operational efficiency.

- **Review policy documents, BoD's resolutions:** The SB assigned one member to review BoD's resolutions sent to the SB as regulated, checking several material policy documents issued by the BoD & General Director that posed comprehensive impact on the Bank's operation. The review results showed that the method of approving the Resolution of the BoD complied with the provisions of VietinBank's Charter and the Regulations on organization and operation of the BoD, the content of the approval consistent with the law, within the right authority and achieved high consensus of Board members.
- **Control internal audit activities:** The SB directed the Internal Audit Department to carry out unit-by-unit and thematic audits, evaluated compliance with legal regulations, internal mechanisms/policies/regulations on high-level supervision, internal control and risk management, and identified existing problems, limitations and causes. Thereby, the SB proposed and made recommendations to competent authorities to handle the shortcomings and limitations.

3.2. High-level direction and supervision for Internal Audit

In the first half of 2024, the SB regularly directed and supervised the Internal Audit Department's activities according to the approved functions, tasks and plans. The high-level supervision of the Internal Audit Department was implemented through the role of the Person-in-charge in each internal audit team, thereby controlling the quality of audit activities, ensuring compliance with professional ethical standards as well as assessing the achievements/limitations of the Internal Audit Department to promptly draw experience through each audit.

3.3. Appraisal of financial statements

Performing the functions and duties as prescribed in Law on Credit Institutions, VietinBank Charter as well as Regulation on organization and operation of the SB, the SB established an Appraisal Team to appraise the consolidated financial statements for the fiscal year ending December 31, 2023 based on financial statements audited by Deloitte Vietnam Audit Company Limited. Through the appraisal, the SB assessed the reasonableness, legitimacy, honesty, completeness and carefulness in accounting, statistics and preparation of financial statements.

3.4. Updating the list of major shareholders and affiliated persons of members of the BoD, members of the SB, and members of the BoM

Updating the list of affiliated persons of the BoD members, SB members, BoM members and shareholders owning at least 05% (five percent) of the voting shares of VietinBank was carried out by the SB periodically based on coordination and information exchange with the Secretariat to the BoD and Investor Relations. The updated results showed that VietinBank's list of affiliated persons in the first half of 2024 had several changes compared to that of year-end 2023 due to some changes in the BoD members for term 2024-2029 at 2024 GMS and BoM members. Specifically:

- Ms. Nguyen Thi Bac was dismissed as VietinBank's Independent Board Member from 27/04/2024;

- Mr. Cat Quang Duong was elected as VietinBank's Independent Board Member for term 2024-2029 from 27/04/2024;
- Mr. Masashige Nakazono was dismissed as VietinBank's Board Member from 27/04/2024;
- Mr. Takeo Shimotsu was elected as VietinBank's Board Member for term 2024-2029 from 27/04/2024;
- Ms. Tran Thu Huyen was dismissed as VietinBank's Board Member from 23/04/2024;
- Mr. Hoang Ngoc Phuong was dismissed as VietinBank Deputy General Director from 28/03/2024.

This list was updated promptly by the SB and recorded properly in accordance with regulations.

3.5. Reviewing contracts, transactions between VietinBank and affiliated persons under the approval authority of the BoD or the GMS

In the first half of 2024, the SB reviewed contracts between VietinBank and institutional affiliated entities (subsidiaries, affiliated companies, major shareholder). The reviews showed that the signing and implementation of the contracts had a legal basis and approval of the BoD in accordance with its authority and was disclosed according to regulations.

4. The coordination among the SB with the BoD, BoM and other management levels

In the first 6 half of 2024, based on the coordinated exchange of information between the SB and the BoD, the BoM, relevant parties have exchanged information to ensure compliance with the provisions of law and internal regulations.

The SB regularly participated in periodic meetings of the BoD, monthly briefings of the BoM, and participated as guests in a number of meetings of the Committees under BoD and Councils under BoM. The SB closely coordinated with the BoD, BoM in performing functions, duties, and exchanging information on the principle of compliance with the laws and internal regulations of VietinBank. Based on the supervisory and internal audit results, the SB made recommendations/suggestions for VietinBank's operations to comply with the law, as well as specific notes of advisory nature on the management and operations of the BoD and the Deputy General Director in-charge of the BoM, the recommendations/advice of the SB have been recognized by the BoD, Deputy General Director in-charge of the BoM, on that basis there is more reference information as making decisions in business activities, directing related units to make research and perform measures to promote growth in accordance with strategic directions, while still controlling risks.

IV. BOARD OF MANAGEMENT

Information about the members of VietinBank's BoM is as follows:

No	Members of the BoM	Date of birth	Qualification	Date of appointment/dismissal of the member of BoM
1	Mr. Do Thanh Son <i>Deputy General Director in-charge of the BoM</i>	23/02/1976	Ph.D in Banking & Finance	- Appointed as Deputy General Director on 11/10/2022; - In-charge of the BoM since 01/09/2023.

No	Members of the BoM	Date of birth	Qualification	Date of appointment/dismissal of the member of BoM
2	Mr. Tran Cong Quynh Lan <i>Deputy General Director</i>	16/01/1979	Master in IT	Appointed on 05/03/2015
3	Mr. Nguyen Dinh Vinh <i>Deputy General Director</i>	30/09/1980	Master in Business Administration	Appointed on 31/07/2015
4	Ms. Le Nhu Hoa <i>Deputy General Director</i>	23/11/1969	Master in Finance, Banking and Insurance	Appointed on 01/08/2016
5	Mr. Le Duy Hai <i>Deputy General Director</i>	06/01/1977	Master in Business Administration	Appointed on 09/05/2022
6	Mr. Nguyen Tran Manh Trung <i>Deputy General Director</i>	21/09/1983	Master in Banking & Finance	Appointed on 12/05/2022
7	Mr. Hoang Ngoc Phuong <i>Deputy General Director</i>	26/07/1984	Master in Business Administration	- Appointed on 12/05/2022; - Dismissed as Deputy General Director from 28/03/2024.
8	Mr. Koji Iriguchi <i>Deputy General Director</i>	08/09/1970	Bachelor of Law	Appointed on 02/06/2023.

V. CHIEF ACCOUNTANT

Information about VietinBank Chief Accountant is as follows:

Full name	Date of birth	Qualification	Date of appointment/dismissal
Mr. Nguyen Hai Hung	13/12/1972	Bachelor in Economics, Chief Accountant Certificate	Appointed on 01/06/2011

VI. TRAINING ON CORPORATE GOVERNANCE

In the first six half of 2024, VietinBank's Leadership Team and leaders of Head Office departments/boards/units as well as Branches attended in-person, online, virtual conferences and seminars organized by international consulting units, strategic partners to update, share knowledge and experience in corporate governance. Therefore, VietinBank's Leadership Team and other management levels kept updated about new knowledge and corporate governance standards according to international practices to constantly improve, absorb, select and apply in practice the management and governance of VietinBank's business activities.

Below are several courses that VietinBank's senior leaders and managers attended in the first half of 2024:

No.	Program name	Time	Number of senior leaders participated
1	Take Command - Leadership overcomes headwinds	1 session	1 person
2	New learning – New management	1 session	1 person
3	HR Agile	2,5 days	1 person
4	Leadership from within	2 days	2 persons
5	Breakthrough leadership	3 days	1 person

In the coming time, VietinBank will continue to study and deploy courses on corporate governance organized by the State Securities Commission (SSC) and prestigious training institutions around the world; participating in conferences, seminars, talk show for members of the BoD, BoM and all VietinBank management levels to improve their knowledge of governance, strategic management, continuing to hold the position as one of Vietnam's leading banks, promoting safe and sustainable development and proactive international integration.

VII. LIST OF AFFILIATED PERSONS OF VIETINBANK AS SPECIFIED IN CLAUSE 46, ARTICLE 4 OF THE SECURITIES LAW DATED 26/11/2019 AND TRANSACTIONS OF AFFILIATED PERSONS OF VIETINBANK WITH VIETINBANK

1. List of affiliated persons of VietinBank

(Details are in Appendix 02 attached to this Report)

2. Transactions between VietinBank and the affiliated persons or between VietinBank and major shareholders, internal persons and related person of internal person

(Details are in Appendix 03 attached to this Report)

3. Transaction between internal person of VietinBank and VietinBank's subsidiaries, or the company in which VietinBank takes controlling power: *None*

4. Transactions between VietinBank and other parties

4.1. Transactions between VietinBank and the company that members of BoD, the SB, General Director has been a founding member or members of BoD, General Director in three (03) years *(calculated at the time of reporting)*: *Details are in Appendix 04 attached to this Report*

4.2. Transactions between VietinBank and the company that related person of members of BoD, the SB, General Director as a member of BoD, General Director: *None*

4.3. Other transactions of VietinBank *(if any)* may bring material or immaterial benefits for members of BoD, the SB, the General Director: *None*

VIII. TRANSACTIONS OF INTERNAL PERSONS AND THEIR AFFILIATED PERSONS

1. List of internal persons and their affiliated persons

(Details are in Appendix 05 attached to this Report)

2. Transactions of internal persons and their affiliated persons on CTG

No	Transaction executor	Relationship with internal person	Number of shares owned at the beginning of the period (01/01/2024)		Number of shares owned at the end of the period (30/06/2024)		Reason for increase/decrease
			No.of shares	Percentage	No.of shares	Percentage	
1	Do Thanh Trung	Affiliated person of Deputy General Director in-charge of the BoM Do Thanh Son	0	0%	0	0%	

Notes:

- On 26/04/2024, Mr. Do Thanh Trung - Affiliated person of Deputy General Director in-charge of the BoM Do Thanh Son bought 5,000 CTG shares in the form of order-matching transactions on the market. The number and percentage of shares held after transaction was 5,000 shares (0.000093%). On May 3rd 2024, Mr. Do Thanh Trung reported the transaction results of CTG shares and VietinBank publicly disclosed this report.
- After that, Mr. Do Thanh Trung executed transactions of CTG shares with a trading value below the level required to disclose information according to the provisions of Article 33, Circular 96/2020/TT-BTC dated November 16, 2020 regarding information disclosure on the stock market. At the end of the reporting period (June 30th 2024), the number of CTG shares Mr. Trung owned was 0 share, equivalent to the number of shares he owned at the beginning of the reporting period (January 01st 2024).

IX. OTHER SIGNIFICANT ISSUES (None)

**LEGAL REPRESENTATIVE
CHAIRMAN OF THE BOARD OF DIRECTORS**

Recipients:

- As mentioned above;
- BoD, BoM, SB (for reporting);
- Archive: VP, VPHDQT1.

(signed and sealed)

Tran Minh Binh

DISCLOSURE

APPENDIX 01
LIST OF RESOLUTIONS OF THE BOARD OF DIRECTORS
(For the first half of 2024)

No.	Resolution No.	Date of issue	Contents	Approval rate
1	001/NQ-HĐQT-NHCT-VPHDQT1	02-01-2024	Approval of credit limit/ credit facility granted for corporate customer	100%
2	016/NQ-HĐQT-NHCT-VPHDQT1	02-01-2024	Termination of the validity of Decision No. 2589/QĐ-HĐQT-NHCT1 dated 20/11/2013 on issuing VietinBank Corporate Culture Handbook	100%
3	002/NQ-HĐQT-NHCT-VPHDQT1	04-01-2024	Network-related issue (change of location of Gia Hoi Transaction office - Thua Thien Hue Branch)	100%
4	003/NQ-HĐQT-NHCT-VPHDQT1	04-01-2024	Approval of the issuance of regulations amending and supplementing the organizational structure, functions and tasks of the Treasury and Capital Market Division	100%
5	004/NQ-HĐQT-NHCT-VPHDQT1	04-01-2024	Approval of credit adjustments for corporate customer	100%
6	006/NQ-HĐQT-NHCT-VPHDQT1	05-01-2024	Approval of credit limit/ credit facility granted for corporate customer	100%
7	074/NQ-HĐQT-NHCT-VPHDQT1	05-01-2024	Approval of procurement report	100%
8	086/NQ-HĐQT-NHCT-VPHDQT1	11-01-2024	Approval of adjustments to credit limits and guarantees for corporate customer	100%
9	008/NQ-HĐQT-NHCT-VPHDQT1	15-01-2024	Approval of credit limit/ credit facility granted for corporate customer	100%
10	025/NQ-HĐQT-NHCT-VPHDQT1	15-01-2024	Approval of VietinBank's 2023 realized salary fund (bank only)	100%
11	010/NQ-HĐQT-NHCT-VPHDQT1	17-01-2024	Approval of credit limit/ credit facility granted for corporate customer	100%
12	011/NQ-HĐQT-NHCT-VPHDQT1	18-01-2024	Approval of feasibility study report	100%
13	013/NQ-HĐQT-NHCT-VPHDQT1	23-01-2024	Approval of granting credit to financial institution	100%
14	014/NQ-HĐQT-NHCT-VPHDQT1	23-01-2024	Approval of granting credit limit to corporate customer	100%
15	015/NQ-HĐQT-NHCT-VPHDQT1	24-01-2024	Approval of feasibility study report	100%
16	019/NQ-HĐQT-NHCT-VPHDQT1	25-01-2024	Approval of credit adjustments for corporate customer	100%
17	017/NQ-HĐQT-NHCT-VPHDQT1	26-01-2024	Balanced scorecard for branches in 2024	100%

No.	Resolution No.	Date of issue	Contents	Approval rate
18	023/NQ-HĐQT-NHCT-VPHĐQT1	31-01-2024	Approval of the document amending and supplementing the Connection Contract No. 01/VietinBank-VTBC between VietinBank and VTBC dated 12/9/2022 regarding the provision of support services for opening fund certificate trading accounts at VietinBank Capital (<i>a subsidiary of VietinBank</i>)	100%
19	080/NQ-HĐQT-NHCT-VPHĐQT1	31-01-2024	Principles of evaluating secretaries	100%
20	026/NQ-HĐQT-NHCT-VPHĐQT1	05-02-2024	Direction of the BoD in the periodic meeting of February 2024	100%
21	027/NQ-HĐQT-NHCT-VPHĐQT1	06-02-2024	Proposing to the SBV the approval of extending VietinBank foreign exchange operations	100%
22	028/NQ-HĐQT-NHCT-VPHĐQT1	06-02-2024	Adjustments to credit limit and approval of credit facility granted for corporate customer	100%
23	032/NQ-HĐQT-NHCT-VPHĐQT1	15-02-2024	Internal assessment report on capital adequacy at VietinBank in 2023 as prescribed in Circular No. 13/2018/TT-NHNN dated 18/05/2018 of the State Bank of Vietnam	100%
24	091/NQ-HĐQT-NHCT-VPHĐQT1	15-02-2024	Approval of the departments/units to be the focal point in-charge of purchasing goods and services	100%
25	030/NQ-HĐQT-NHCT-VPHĐQT1	21-02-2024	Approval of branch staffing and recruitment plan for 2024	100%
26	031/NQ-HĐQT-NHCT-VPHĐQT1	21-02-2024	Approval of credit limit, credit facility and adjustments of credit condition for corporate customer	100%
27	037/NQ-HĐQT-NHCT-VPHĐQT1	21-02-2024	Approval of the report submitted to the SBV on the implementation status of VietinBank Tower project in 2023	100%
28	034/NQ-HĐQT-NHCT-VPHĐQT1	23-02-2024	Approval of 2022 profit distribution plan	100%
29	089/NQ-HĐQT-NHCT-VPHĐQT1	23-02-2024	Approval of the content and agreement to issue the Risk Appetite Statement for the period 2024 - 2026 in VietinBank system	100%
30	038/NQ-HĐQT-NHCT-VPHĐQT1	26-02-2024	Decision to purchase Building Management and Operation Services	100%
31	039/NQ-HĐQT-NHCT-VPHĐQT1	27-02-2024	Approval of the funding plan for hospitals and schools in 2024	100%
32	143/NQ-HĐQT-NHCT-VPHĐQT1	29-02-2024	Adjusting the content of several digital transformation initiatives to be deployed in 2024	100%
33	041/NQ-HĐQT-NHCT-VPHĐQT1	04-03-2024	Approval of feasibility study report	100%
34	042/NQ-HĐQT-NHCT-VPHĐQT1	05-03-2024	Organizing the Annual GMS and term 2024-2029	100%
35	047/NQ-HĐQT-NHCT-VPHĐQT1	05-03-2024	Direction of the BoD in the periodic meeting of March 2024	100%
36	043/NQ-HĐQT-NHCT-VPHĐQT1	07-03-2024	Credit granting to corporate customer	100%

NOTE: THIS ENGLISH VERSION IS FOR INFORMATION PURPOSE ONLY

No.	Resolution No.	Date of issue	Contents	Approval rate
37	044/NQ-HĐQT-NHCT-VPHĐQT1	07-03-2024	Approval of the restructuring plan associated with bad debt handling for the period 2021-2025 of VietinBank Financial Leasing Company Limited	100%
38	045/NQ-HĐQT-NHCT-VPHĐQT1	07-03-2024	Approval of the foreign exchange transaction limit contract between VietinBank and VietinBank Laos Limited (<i>a subsidiary of VietinBank</i>)	100%
39	046/NQ-HĐQT-NHCT-VPHĐQT1	08-03-2024	Approval of VietinBank's Development Strategy for the period 2021 - 2025, with a vision to 2030	100%
40	048/NQ-HĐQT-NHCT-VPHĐQT1	08-03-2024	Approval of the content and agreeing to issue temporary regulations on Reputation Risk Management in VietinBank system	100%
41	049/NQ-HĐQT-NHCT-VPHĐQT1	12-03-2024	Approval of procurement report	100%
42	051/NQ-HĐQT-NHCT-VPHĐQT1	13-03-2024	Approval of credit orientation in the current period	100%
43	052/NQ-HĐQT-NHCT-VPHĐQT1	14-03-2024	Moving some functions in units to the Purchasing & Assets Management Division	100%
44	096/NQ-HĐQT-NHCT-VPHĐQT1	14-03-2024	Premature redemption of secondary bonds issued by VietinBank on the Exercise Date of Redemption Right in 2024	100%
45	053/NQ-HĐQT-NHCT-VPHĐQT1	15-03-2024	Network-related issue (Approval of policy on network-related issue for VietinBank - Tay Thang Long Branch)	100%
46	054/NQ-HĐQT-NHCT-VPHĐQT1	19-03-2024	Adjustments of credit limit for corporate customer	100%
47	058/NQ-HĐQT-NHCT-VPHĐQT1	19-03-2024	Approval of granting credit limit to the financial institution	100%
48	059/NQ-HĐQT-NHCT-VPHĐQT1	20-03-2024	Approval of the establishment and promulgation of the Regulations on organization and operation of the Contributed Capital Management Committee	100%
49	061/NQ-HĐQT-NHCT-VPHĐQT1	20-03-2024	Network-related issue (change of location of head office of Luu Xa Branch)	100%
50	063/NQ-HĐQT-NHCT-VPHĐQT1	21-03-2024	Approval of the Guarantee Contract, Asset Mortgage Contract, Valuable Paper Pledge Contract between VietinBank and VBI (<i>a subsidiary of VietinBank</i>)	100%
51	073/NQ-HĐQT-NHCT-VPHĐQT1	21-03-2024	Establishment of Contributed Capital Management Committee and personnel consolidation of Policy Committee in VietinBank	100%
52	064/NQ-HĐQT-NHCT-VPHĐQT1	22-03-2024	Approval of internal operating goals	100%
53	065/NQ-HĐQT-NHCT-VPHĐQT1	22-03-2024	Approval of the content and the issuance of Regulations on Management of records and documents storage in VietinBank system	100%

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No.	Resolution No.	Date of issue	Contents	Approval rate
54	066/NQ-HĐQT-NHCT-VPHĐQT1	22-03-2024	Change of People in-charge of corporate governance	100%
55	067/NQ-HĐQT-NHCT-VPHĐQT1	22-03-2024	Approval of procurement report	100%
56	070/NQ-HĐQT-NHCT-VPHĐQT1	25-03-2024	Establishment of the Shareholder's Eligibility Checking Committee to attend 2024 Annual GMS and term 2024-2029	100%
57	071/NQ-HĐQT-NHCT-VPHĐQT1	26-03-2024	Approval of the amended content and agreement to issue the first amendment and supplement to the Regulations on owner's equity management and internal assessment of capital adequacy in VietinBank System	100%
58	072/NQ-HĐQT-NHCT-VPHĐQT1	26-03-2024	Network-related issue (change of the management rights of Dong Khoi Transaction Office from Dong Nai Branch to Nhon Trach Branch, and change of the name and location of the Transaction Office)	100%
59	127/NQ-HĐQT-NHCT-VPHĐQT1	26-03-2024	VietinBank's BoD supervision plan in 2024	100%
60	131/NQ-HĐQT-NHCT-VPHĐQT1	27-03-2024	Adjusting credit conditions for corporate customer	100%
61	075/NQ-HĐQT-NHCT-VPHĐQT1	28-03-2024	Approval of funding policy for VietinBank partner	100%
62	076/NQ-HĐQT-NHCT-VPHĐQT1	28-03-2024	Adjusting the content of authorization, assignment of tasks and work handling for members of the BoM, Division Directors	100%
63	077/NQ-HĐQT-NHCT-VPHĐQT1	28-03-2024	Approval of transaction limit for financial institution	100%
64	079/NQ-HĐQT-NHCT-VPHĐQT1	28-03-2024	Approval of credit limit/ credit facility granted for corporate customer	100%
65	081/NQ-HĐQT-NHCT-VPHĐQT1	29-03-2024	Establishment of branches under VietinBank Insurance Joint Stock Corporation	100%
66	082/NQ-HĐQT-NHCT-VPHĐQT1	29-03-2024	Adjusting credit limit for corporate customer	100%
67	083/NQ-HĐQT-NHCT-VPHĐQT1	01-04-2024	Approval of the agenda and documents for 2024 Annual GMS of VietinBank Securities Joint Stock Company (CTS)	100%
68	085/NQ-HĐQT-NHCT-VPHĐQT1	01-04-2024	Approval of procurement report	100%
69	087/NQ-HĐQT-NHCT-VPHĐQT1	03-04-2024	Approval of funding policy for VietinBank partner	100%
70	088/NQ-HĐQT-NHCT-VPHĐQT1	03-04-2024	Approval of credit granted for corporate customer	100%
71	092/NQ-HĐQT-NHCT-VPHĐQT1	05-04-2024	Network-related issue (change of name and location of Hung Vuong 3 Transaction Office - Da Nang Branch)	100%
72	093/NQ-HĐQT-NHCT-VPHĐQT1	05-04-2024	Approval of adding the content of the activity "Participating in international payment systems" to the License for establishment and operation of VietinBank (to submit to the SBV)	100%



No.	Resolution No.	Date of issue	Contents	Approval rate
73	111/NQ-HĐQT-NHCT-VPĐQT1	05-04-2024	Direction of the BoD in the periodic meeting of April 2024	100%
74	099/NQ-HĐQT-NHCT-VPĐQT1	09-04-2024	Approval of feasibility study report	100%
75	100/NQ-HĐQT-NHCT-VPĐQT1	09-04-2024	Approval of procurement report	100%
76	102/NQ-HĐQT-NHCT-VPĐQT1	09-04-2024	Approval of transaction limit for financial institution	100%
77	103/NQ-HĐQT-NHCT-VPĐQT1	10-04-2024	Approval of funding policy for VietinBank partner	100%
78	104/NQ-HĐQT-NHCT-VPĐQT1	10-04-2024	Approval of funding policy for VietinBank partner	100%
79	105/NQ-HĐQT-NHCT-VPĐQT1	10-04-2024	Approval of funding policy for VietinBank partner	100%
80	106/NQ-HĐQT-NHCT-VPĐQT1	10-04-2024	Approval of the criteria set for developing corporate culture and the policy of emulation and reward in corporate culture filed	100%
81	107/NQ-HĐQT-NHCT-VPĐQT1	10-04-2024	Authorizing the Chairman of the BoD to approve the policy of accessing and granting credit beyond the maximum limit to customers according to regulations	100%
82	118/NQ-HĐQT-NHCT-VPĐQT1	10-04-2024	Approval of the report submitted to the SBV on the implementation status of VietinBank Tower project in the first quarter of 2024	100%
83	108/NQ-HĐQT-NHCT-VPĐQT1	11-04-2024	Approval of feasibility study report	100%
84	109/NQ-HĐQT-NHCT-VPĐQT1	11-04-2024	Approval of feasibility study report	100%
85	110/NQ-HĐQT-NHCT-VPĐQT1	11-04-2024	Approval of feasibility study report	100%
86	167/NQ-HĐQT-NHCT-VPĐQT1	15-04-2024	Approval of the policy of granting credit limits to customers exceeding the maximum prescribed limit	100%
87	113/NQ-HĐQT-NHCT-VPĐQT1	17-04-2024	Approval of VietinBank 2023 annual report	100%
88	116/NQ-HĐQT-NHCT-VPĐQT1	17-04-2024	Approval of credit adjustments for corporate customer	100%
89	117/NQ-HĐQT-NHCT-VPĐQT1	19-04-2024	Approval of the agenda and content of documents for 2024 Annual GMS of VietinBank Insurance Joint Stock Corporation (VBI)	100%
90	138/NQ-HĐQT-NHCT-VPĐQT1	19-04-2024	Approval of the emulation ranking principles for branches and subsidiaries in 2024	100%
91	179/NQ-HĐQT-NHCT-VPĐQT1	19-04-2024	Adjusting the assessment results of the capital representatives at the capital-receiving units in 2022	100%
92	119/NQ-HĐQT-NHCT-VPĐQT1	22-04-2024	Approval of the policy of transforming the organizational structure model at the head office of VietinBank Insurance Joint Stock Corporation (VBI)	100%

No.	Resolution No.	Date of issue	Contents	Approval rate
93	120/NQ-HĐQT-NHCT-VPĐQT1	22-04-2024	Approval of transaction limits and guarantee limits for financial institution	100%
94	121/NQ-HĐQT-NHCT-VPĐQT1	22-04-2024	Approval of transaction limits and guarantee limits for financial institution	100%
95	122/NQ-HĐQT-NHCT-VPĐQT1	22-04-2024	Approval of transaction limits and guarantee limits for financial institution	100%
96	123/NQ-HĐQT-NHCT-VPĐQT1	22-04-2024	Approval of transaction limits and guarantee limits for financial institution	100%
97	124/NQ-HĐQT-NHCT-VPĐQT1	22-04-2024	Approval of the policy to amend the Financial Regulations of VietinBank Insurance Joint Stock Corporation (VBI)	100%
98	125/NQ-HĐQT-NHCT-VPĐQT1	22-04-2024	Approval of the policy to terminate the operation of the International Customer Department at VietinBank Securities Joint Stock Company (CTS)	100%
99	128/NQ-HĐQT-NHCT-VPĐQT1	22-04-2024	Network-related issue (change of the management rights of Bau Sen Transaction office from Branch 5, Ho Chi Minh City to Thu Duc Branch, change of the location and name of the Transaction office)	100%
100	129/NQ-HĐQT-NHCT-VPĐQT1	22-04-2024	Network-related issue (termination of operations of Phu Trung Transaction office - Branch 6, Ho Chi Minh City and change of location of Van Cao Transaction office - Ba Dinh Branch)	100%
101	132/NQ-HĐQT-NHCT-VPĐQT1	22-04-2024	Approval of transaction limits and guarantee limits for financial institution	100%
102	133/NQ-HĐQT-NHCT-VPĐQT1	22-04-2024	Approval of transaction limits and guarantee limits for financial institution	100%
103	134/NQ-HĐQT-NHCT-VPĐQT1	22-04-2024	Approval of amendments to the Operating Regulations of the Supervisory Board of VietinBank Debt and Asset Management Company Limited (VietinBank AMC)	100%
104	135/NQ-HĐQT-NHCT-VPĐQT1	22-04-2024	Approval of funding policy for VietinBank partner	100%
105	136/NQ-HĐQT-NHCT-VPĐQT1	22-04-2024	Promulgation of temporary regulations on digital transformation activities at VietinBank	100%
106	137/NQ-HĐQT-NHCT-VPĐQT1	22-04-2024	Approval of the plan assignment for the target "Promoting the sale of VietinBank ecosystem"	100%
107	146/NQ-HĐQT-NHCT-VPĐQT1	22-04-2024	Assigning 2024 goals to Head Office units	100%
108	145/NQ-HĐQT-NHCT-VPĐQT1	23-04-2024	Approval of brokerage commissions to promote the sale of payment account and required accounts services of VietinBank	100%
109	140/NQ-HĐQT-NHCT-VPĐQT1	26-04-2024	Approve the agenda and content of documents for 2024 Annual GMS and term 2024-2029	100%

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No.	Resolution No.	Date of issue	Contents	Approval rate
110	141/NQ-HĐQT-NHCT-VPHĐQT1	26-04-2024	Approval of debt restructuring/ Release of collateral/ Temporary release of collateral documents/ Adjustment of credit conditions for corporate customer	100%
111	142/NQ-HĐQT-NHCT-VPHĐQT1	26-04-2024	Approval of feasibility study report	100%
112	180/NQ-HĐQT-NHCT-VPHĐQT1	03-05-2024	Approval of the expansion of the Phase 1 Approval Expert model (Additional position of Level 2 Approval Director)	100%
113	148/NQ-HĐQT-NHCT-VPHĐQT1	06-05-2024	Direction of the BoD in the periodic meeting of May 2024	100%
114	149/NQ-HĐQT-NHCT-VPHĐQT1	08-05-2024	Approval of the Document amending and supplementing the Insurance Agency Contract with VBI (<i>a subsidiary of VietinBank</i>)	100%
115	150/NQ-HĐQT-NHCT-VPHĐQT1	09-05-2024	Network-related issue (change of location of head office of Ho Tay Branch - Hoan Kiem Branch)	100%
116	151/NQ-HĐQT-NHCT-VPHĐQT1	10-05-2024	Approve adjustments to credit limit and approval of credit facility granted for corporate customer	100%
117	152/NQ-HĐQT-NHCT-VPHĐQT	16-05-2024	Approval of feasibility study report	100%
118	153/NQ-HĐQT-NHCT-VPHĐQT	16-05-2024	Approval of feasibility study report	100%
119	155/NQ-HĐQT-NHCT-VPHĐQT1	16-05-2024	Approval of procurement cost plan	100%
120	159/NQ-HĐQT-NHCT-VPHĐQT1	16-05-2024	Approval of adjustments to transaction limit and approval of credit facility granted for financial institutions	100%
121	154/NQ-HĐQT-NHCT-VPHĐQT	21-05-2024	Approval of procurement report	100%
122	160/NQ-HĐQT-NHCT-VPHĐQT	21-05-2024	Approval of feasibility study report	100%
123	175/NQ-HĐQT-NHCT-VPHĐQT1	21-05-2024	Approval of credit limit/ TA limit/ credit facility and adjustments to credit conditions for corporate customer	100%
124	161/NQ-HĐQT-NHCT-VPHĐQT1	22-05-2024	Adjustment of the deployment time of the General administration Department in some VietinBank branches	100%
125	162/NQ-HĐQT-NHCT-VPHĐQT1	23-05-2024	Network-related issue (change of locations of head office of Dien Bien Transaction Office - North Hanoi Branch and Tran Phu Transaction Office - Binh Dinh Branch)	100%
126	163/NQ-HĐQT-NHCT-VPHĐQT1	24-05-2024	Approval of transaction limits and guarantee limits for financial institution	100%
127	164/NQ-HĐQT-NHCT-VPHĐQT1	27-05-2024	Approval of the promulgation of Regulations on anti-terrorism; anti-proliferation of weapons of mass destruction in VietinBank system	100%
128	166/NQ-HĐQT-NHCT-VPHĐQT1	28-05-2024	Approval of feasibility study report	100%

No.	Resolution No.	Date of issue	Contents	Approval rate
129	158/NQ-HĐQT-NHCT-VPĐQT1	29-05-2024	Network-related issue (change of location of head office of Branch 11 - Ho Chi Minh City)	100%
130	169/NQ-HĐQT-NHCT-VPĐQT1	29-05-2024	Approval of transaction limits and guarantee limits for financial institution	100%
131	170/NQ-HĐQT-NHCT-VPĐQT1	29-05-2024	Approval of transaction limit for financial institution	100%
132	171/NQ-HĐQT-NHCT-VPĐQT1	29-05-2024	Approval of the policy to implement gold bar business activities at VietinBank	100%
133	172/NQ-HĐQT-NHCT-VPĐQT1	30-05-2024	Promulgation of regulations to resolve complaints about labor, safety, and occupational hygiene, and recommendations in VietinBank system	100%
134	196/NQ-HĐQT-NHCT-VPĐQT	30-05-2024	Approval of feasibility study report	100%
135	197/NQ-HĐQT-NHCT-VPĐQT	30-05-2024	Approval of procurement report	100%
136	173/NQ-HĐQT-NHCT-VPĐQT	31-05-2024	Approval of feasibility study report	100%
137	176/NQ-HĐQT-NHCT-VPĐQT1	31-05-2024	Approval of the contract to launch collection service via Identity Account with VietinBank Securities Joint Stock Company - CTS (<i>a subsidiary of VietinBank</i>)	100%
138	177/NQ-HĐQT-NHCT-VPĐQT1	31-05-2024	Approval of transaction limits and guarantee limits for financial institution	100%
139	178/NQ-HĐQT-NHCT-VPĐQT1	31-05-2024	Approval of the Authorization Contract for the sale of gold bars between VietinBank and VietinBank Gold & Jewellery (<i>a subsidiary of VietinBank</i>)	100%
140	181/NQ-HĐQT-NHCT-VPĐQT	04-06-2024	Approval of transaction limit for financial institution	100%
141	183/NQ-HĐQT-NHCT-VPĐQT	05-06-2024	Approval of procurement report	100%
142	192/NQ-HĐQT-NHCT-VPĐQT1	05-06-2024	VietinBank's plan to issue bonds to increase Tier 2 capital in a private form in 2024	100%
143	193/NQ-HĐQT-NHCT-VPĐQT	05-06-2024	Approval of procurement report	100%
144	195/NQ-HĐQT-NHCT-VPĐQT1	05-06-2024	Direction of the BoD in the periodic meeting of June 2024	100%
145	194/NQ-HĐQT-NHCT-VPĐQT1	06-06-2024	Approval of transaction limits and guarantee limits for financial institution	100%
146	202/NQ-HĐQT-NHCT-VPĐQT1	06-06-2024	Consolidating the model of Digital Factory	100%
147	198/NQ-HĐQT-NHCT-VPĐQT1	10-06-2024	Approval of funding policy for VietinBank's partner	100%
148	199/NQ-HĐQT-NHCT-VPĐQT1	10-06-2024	Approval of policy to grant credit limits to customers exceeding the maximum prescribed level	100%
149	200/NQ-HĐQT-NHCT-VPĐQT1	12-06-2024	Approval of adjustments to the restructuring plan associated with handling bad debts of VietinBank for the period 2021-2025	100%

No.	Resolution No.	Date of issue	Contents	Approval rate
150	201/NQ-HĐQT-NHCT-VPHĐQT1	12-06-2024	Approval of transaction limits and guarantee limits for financial institution	100%
151	203/NQ-HĐQT-NHCT-VPHĐQT1	12-06-2024	Approval of 2024 business plan of VietinBank Germany Branch	100%
152	204/NQ-HĐQT-NHCT-VPHĐQT	13-06-2024	Approval of procurement report	100%
153	205/NQ-HĐQT-NHCT-VPHĐQT1	13-06-2024	Approval of 2024 transaction limit for corporate customer	100%
154	206/NQ-HĐQT-NHCT-VPHĐQT1	13-06-2024	Approval of procurement report	100%
155	207/NQ-HĐQT-NHCT-VPHĐQT1	14-06-2024	Adjusting the content of authorization, assignment of tasks and work handling for members of the BoM, Division Directors	100%
156	209/NQ-HĐQT-NHCT-VPHĐQT1	17-06-2024	Approval of credit limit/ credit facility granted for corporate customer	100%
157	250/NQ-HĐQT-NHCT-VPHĐQT1	17-06-2024	Approval of procurement report	100%
158	208/NQ-HĐQT-NHCT-VPHĐQT1	18-06-2024	Approval of the interbank transaction limit contract between VietinBank and VietinBank Leasing (<i>a subsidiary of VietinBank</i>)	100%
159	212/NQ-HĐQT-NHCT-VPHĐQT1	19-06-2024	Approval of transaction limits and guarantee limits for financial institution	100%
160	213/NQ-HĐQT-NHCT-VPHĐQT1	19-06-2024	Approval of transaction limits and guarantee limits for financial institution	100%
161	217/NQ-HĐQT-NHCT-VPHĐQT1	21-06-2024	Approval of transaction limits and guarantee limits for financial institution	100%
162	218/NQ-HĐQT-NHCT-VPHĐQT1	24-06-2024	Approval of amendments to the Financial Regulations of VietinBank Debt and Asset Management Company Limited (VietinBank AMC)	100%
163	222/NQ-HĐQT-NHCT-VPHĐQT1	24-06-2024	Approval of feasibility study report	100%
164	223/NQ-HĐQT-NHCT-VPHĐQT1	25-06-2024	Approval of the content and documents of the 1st Extraordinary GMS in 2024 of VietinBank Insurance Joint Stock Corporation (VBI)	100%
165	224/NQ-HĐQT-NHCT-VPHĐQT1	25-06-2024	Approval of the amendment and promulgation of VietinBank Charter of organization and operation	100%
166	227/NQ-HĐQT-NHCT-VPHĐQT1	26-06-2024	Evaluation of VietinBank's Capital Representatives at Capital Receiving Units	100%
167	228/NQ-HĐQT-NHCT-VPHĐQT1	26-06-2024	Approval of procurement report	100%
168	251/NQ-HĐQT-NHCT-VPHĐQT1	26-06-2024	Approval of the revised content and promulgation of Regulations on VietinBank staff management	100%
169	229/NQ-HĐQT-NHCT-VPHĐQT1	28-06-2024	Approval of comprehensive banking and cybercrime insurance contract (BBB&ECC) in VietinBank system for the period 2024-2025 with VietinBank Insurance Corporation (<i>a subsidiary of VietinBank</i>)	100%
170	230/NQ-HĐQT-NHCT-VPHĐQT1	28-06-2024	Approval of adjustments to credit condition for corporate customer	100%
171	231/NQ-HĐQT-NHCT-VPHĐQT1	28-06-2024	Approval of credit limit/ credit facility granted for corporate customer	100%
172	232/NQ-HĐQT-NHCT-VPHĐQT1	28-06-2024	Approval of contracts in mobilization activities with partner	100%

NOTE: THIS ENGLISH VERSION IS FOR INFORMATION PURPOSE ONLY

No.	Resolution No.	Date of issue	Contents	Approval rate
173	233/NQ-HĐQT-NHCT-VPĐQT1	28-06-2024	Approval of the maximum level of transaction decision authority in capital trading activities	100%
174	234/NQ-HĐQT-NHCT-VPĐQT1	28-06-2024	Approval of a framework contract to buy/sell valuable papers with partners	100%
175	235/NQ-HĐQT-NHCT-VPĐQT1	28-06-2024	Approval of feasibility study report	100%
176	236/NQ-HĐQT-NHCT-VPĐQT1	28-06-2024	Assigning 2024 targets to members of the BoM and criteria for evaluating the performance of Board members	100%
177	243/NQ-HĐQT-NHCT-VPĐQT1	28-06-2024	Consolidating Committees under the BoD	100%
178	258/NQ-HĐQT-NHCT-VPĐQT1	30-06-2024	Promulgation of regulations on investment credit policies	100%

APPENDIX 02 (Disclosure)
LIST OF AFFILIATED PERSONS OF VIETINBANK
(For the first half of 2024)

No.	Name of organization /individual	Securities trading account (if any)	Position	ID/Business registration No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
<i>I- Affiliated persons - Members of the Board of Directors:</i>											
1	Tran Minh Binh		Chairman of BoD, Legal Representative					08-12-2018		- Appointed as BoD member for 2014-2019 term according to Resolution of 2018 Extraordinary GMS No. 19/NQ-DHDCD dated 08/12/2018. - Re-appointed as BoD member for 2019-2024 term according to Resolution of 2019 Annual GMS No. 20/NQ-DHDCD dated 23/04/2019; elected to hold the position of Chairman of VietinBank BoD for 2019-2024 term from 07/09/2021 according to Resolution No. 1368/NQ-HDQT-NHCT1.2 dated 07/09/2021 of VietinBank BoD. - Re-appointed as BoD member for 2024-2029 term according to Resolution of 2024 Annual GMS and 2024-2029 term No. 27/NQ-DHDCD dated 27/04/2024. Elected to hold the position of Chairman of VietinBank BoD for 2024-2029 term from 27/04/2024 according to Resolution No. 2888/NQ-HDQT-NHCT-NS2 dated 27/04/2024 of VietinBank BoD.	Internal person
2	Tran Van Tan		Board member					23-04-2019		- Appointed as BoD member for 2019-2024 term according to Resolution of 2019 Annual GMS and 2019-2024 term No. 20/NQ-DHDCD dated 23/04/2019. - Re-appointed as BoD member for 2024-2029 term according to Resolution of 2024 Annual GMS and 2024-2029 term No. 27/NQ-DHDCD dated 27/04/2024.	Internal person
3	Le Thanh Tung		Board member					03-11-2021		- Appointed as BoD member for 2019-2024 term according to Resolution of 2021 Extraordinary GMS No. 24/NQ-DHDCD dated 03/11/2021. - Re-appointed as BoD member for 2024-2029 term according to Resolution of 2024 Annual GMS and 2024-2029 term No. 27/NQ-DHDCD dated 27/04/2024.	Internal person

No.	Name of organization /individual	Securities trading account (if any)	Position	ID/Business registration No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
4	Tran Thu Huyen		Board member					24-07-2014	23-04-2024	- Appointed as BoD member for 2014-2019 term according to Resolution of 2014 Extraordinary GMS No. 999/NQ-DHDCD dated 24/07/2014. - Re-appointed as BoD member for 2019-2024 term according to Resolution of 2019 Annual GMS and 2019-2024 term No. 20/NQ-DHDCD dated 23/04/2019. - No longer hold the position as VietinBank BoD member since 23/04/2024 according to the Decision No. 789/QD-NHNN dated 23/04/2024 of the SBV.	Internal person
5	Nguyen The Huan		Board member					23-04-2019		- Appointed as BoD member for 2019-2024 term according to Resolution of 2019 Annual GMS and the 2019-2024 term No. 20/NQ-DHDCD dated 23/04/2019. - Re-appointed as BoD member for 2024-2029 term according to Resolution of 2024 Annual GMS and 2024-2029 term No. 27/NQ-DHDCD dated 27/04/2024	Internal person
6	Pham Thi Thanh Hoai		Board member					23-04-2019		- Appointed as BoD member for 2019-2024 term according to Resolution of 2019 Annual GMS and the 2019-2024 term No. 20/NQ-DHDCD dated 23/04/2019. - Re-appointed as BoD member for 2024-2029 term according to Resolution of 2024 Annual GMS and 2024-2029 term No. 27/NQ-DHDCD dated 27/04/2024	Internal person
7	Nguyen Duc Thanh		Board member					29-04-2022		- Appointed as BoD member for 2019-2024 term according to Resolution of 2022 Annual GMS No. 25/NQ-DHDCD dated 29/04/2022. - Re-appointed as BoD member for 2024-2029 term according to Resolution of 2024 Annual GMS and 2024-2029 term No. 27/NQ-DHDCD dated 27/04/2024.	Internal person
9	Koji Iriguchi		Board member Deputy General Director					02-06-2023		- Appointed as BoD member for 2019-2024 term according to Resolution of 2023 Annual GMS No. 26/NQ-DHDCD dated 21/04/2023, effective from 02/06/2023. - Re-appointed as BoD member for 2024-2029 term according to Resolution of 2024 Annual GMS and 2024-2029 term No. 27/NQ-DHDCD dated 27/04/2024.	Internal person

No.	Name of organization /individual	Securities trading account (if any)	Position	ID/Business registration No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
10	Masashige Nakazono		Board member					16-04-2021	27-04-2024	- Appointed as BoD member for 2019-2024 term according to Resolution of 2021 Annual GMS No.23/NQ-DHDCD dated 16/04/2021. - End the term 2019-2024 as 2024 Annual GMS and 2024-2029 term organized on 27/04/2024 to vote on new term 2024-2029.	Internal person
11	Nguyen Thi Bac		Independent Board member					23-05-2020	27-04-2024	- Appointed as BoD independent member for 2019-2024 term according to Resolution of 2020 Annual GMS No.21/NQ-DHDCD dated 23/05/2020. - End the term 2019-2024 as 2024 Annual GMS and 2024-2029 term organized on 27/04/2024 to vote on new term 2024-2029.	Internal person
12	Cat Quang Duong		Independent Board member					27-04-2024		- Appointed as BoD independent member for 2024-2029 term according to Resolution of 2024 Annual GMS and 2024-2029 term No.27/NQ-DHDCD dated 27/04/2024.	Internal person
13	Takeo Shimotsu		Board member					27-04-2024		- Appointed as BoD member for 2024-2029 term according to Resolution of 2024 Annual GMS and 2024-2029 term No.27/NQ-DHDCD dated 27/04/2024.	Internal person

II- Affiliated persons - Members of the Board of Management:

1	Do Thanh Son		Deputy General Director In-charge of BoM					11-10-2022		- Appointed as Deputy General Director according to Decision No. 6666/QD-HDQT-NHCT-NS2 dated 11/10/2022 of VietinBank BoD. - In charge of BoM according to Decision No.6666/QD-HDQT-NHCT-NS2 dated 25/08/2023 of VietinBank BoD.	Internal person
2	Koji Iriguchi		Board member Deputy General Director					02-06-2023		- Appointed Deputy General Director according to Decision No. 586/QD-HDQT-NHCT-NS2 dated 02/06/2023 of VietinBank BoD	Internal person
3	Tran Cong Quynh Lan		Deputy General Director Head of IT Division					05-03-2015		- Appointed as Deputy General Director according to Decision No.159/QD-HDQT-NHCT1 dated 03/03/2015 of VietinBank BoD, effective from 05/03/2015. - Re-appointed as Deputy General Director according to Decision No. 8668/QD-HDQT-NHCT1.2 dated 20/07/2020.	Internal person
4	Nguyen Dinh Vinh		Deputy General Director					31-07-2015		- Appointed Deputy General Director according to Decision No.1369/QD-HDQT-NHCT1.2 dated 30/07/2015 of VietinBank BoD. - Reappointed Deputy General Director according to Decision No. 1369/QD-HDQT-NHCT1.2 dated 07/08/2020 of VietinBank BoD.	Internal person

No.	Name of organization /individual	Securities trading account (if any)	Position	ID/Business registration No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
5	Le Nhu Hoa		Deputy General Director					01-08-2016		- Appointed as Deputy General Director according to Decision No.369/QD-HDQT-NHCT1.2 dated 01/08/2016 of VietinBank BoD. - Re-appointed as Deputy General Director according to Decision No. 369/QD-HDQT-NHCT1.2 dated 07/09/2021 of VietinBank BoD.	Internal person
6	Le Duy Hai		Deputy General Director					09-05-2022		- Appointed as Deputy General Director according to Decision No. 669/QD-HDQT-NHCT1.2 dated 09/05/2022 of VietinBank BoD.	Internal person
7	Nguyen Tran Manh Trung		Deputy General Director					12-05-2022		- Appointed as Deputy General Director according to Decision No. 8888/QD-HDQT-NHCT1.2 dated 09/05/2022 of VietinBank BoD, effective from 12/5/2022.	Internal person
8	Hoang Ngoc Phuong		Deputy General Director					12-05-2022	28-03-2024	- Appointed as Deputy General Director according to Decision No. 1299/QD-HDQT-NHCT1.2 dated 09/05/2022 of VietinBank BoD, effective from 12/5/2022. - Dismissed as Deputy General Director according to Decision No.72/QD-HDQT-NHCT-NS2 dated 28/03/2024 of VietinBank BoD.	Internal person
III- Affiliated persons - Member of the Supervisory Board:											
1	Le Anh Ha		Chief of the Supervisory Board					23-04-2019		- Appointed as Supervisory Board member for 2019-2024 term according to Resolution of 2019 Annual GMS and 2019-2024 term No.20/NQ-DHDCD dated 23/04/2019 and elected as Chief of Supervisory Board according to Resolution No.01/NQ-BKS-2019 dated 23/04/2019 of VietinBank Supervisory Board. - Re-appointed as Supervisory Board member for 2024-2029 term according to Resolution of 2024 Annual GMS and 2024-2029 term No.27/NQ-DHDCD dated 27/04/2024. Elected as Chief of Supervisory Board according to Resolution No.01/NQ-BKS-NHCT dated 27/04/2024 of VietinBank Supervisory Board.	Internal person

No.	Name of organization /individual	Securities trading account (if any)	Position	ID/Business registration No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
2	Nguyen Thi Anh Thu		Member of the Supervisory Board					23-04-2019		- Appointed as Supervisory Board member for 2019-2024 term according to Resolution of 2019 Annual GMS and 2019-2024 term No.20/NQ-DHDCD dated 23/04/2019. - Re-appointed as Supervisory Board member for 2024-2029 term according to Resolution of 2024 Annual GMS and 2024-2029 term No.27/NQ-DHDCD dated 27/04/2024.	Internal person
3	Pham Thi Thom		Member of the Supervisory Board					16-04-2021		- Appointed as Supervisory Board member for 2019-2024 term according to Resolution of 2021 Annual GMS No.23/NQ-DHDCD dated 16/04/2021. - Re-appointed as Supervisory Board member for 2024-2029 term according to Resolution of 2024 Annual GMS and 2024-2029 term No.27/NQ-DHDCD dated 27/04/2024.	Internal person
IV- Affiliated person - Chief Accountant:											
1	Nguyen Hai Hung		Chief Accountant					01-06-2011		- Appointed as Chief Accountant according to Decision No.038/QD-HDQT-NHCT1 dated 31/05/2011 of VietinBank BoD. - Re-appointed as Chief Accountant according to Decision No.1245/QD-HDQT-NHCT1.2 dated 10/10/2016 and Decision No. 586/QD-HDQT-NHCT1.2 dated 25/05/2021 of VietinBank BoD.	Internal person
V- Affiliated person - Head of Finance Division:											
1	Nguyen Bao Thanh Van		Head of Finance Division					10-11-2021		- Appointed as Head of Finance Division under Decision No. 1168/QD-HDQT-NHCT1.2 dated 08/11/2021 of VietinBank BoD, effective from 10/11/2021.	Internal person
VI - Affiliated persons - Person in charge of Corporate Governance cum Company Secretary:											
1	Dong Xuan Hung		Acting Chief of Office of the BoD cum Person in charge of Corporate Governance					18-10-2022	22-03-2024	- Appointed as Person in charge of Corporate Governance according to Resolution No. 356/NQ-HĐQT-NHCT-VPHĐQT1 dated 18/10/2022 of VietinBank BoD. - Dismissed as Person in charge of Corporate Governance cum Company Secretary from 22/03/2024 according to Resolution No.066/NQ-HDQT-VPHDQT1 dated 22/03/2024 of VietinBank BoD.	Internal person

No.	Name of organization /individual	Securities trading account (if any)	Position	ID/Business registration No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
2	Tran Minh Duc		Chief of BoD office cum Director of Secretariat to the Board & Investor Relation cum Person in charge of Corporate Governance cum Company Secretary					22-03-2024		- Appointed as Person in charge of Corporate Governance cum Company Secretary according to Resolution No.066/NQ-HDQT-VPHDQT1 dated 22/03/2024 of VietinBank BoD.	Internal person
VII - Subsidiaries:											
1	VietinBank Insurance Joint Stock Corporation (VBI)	-		License of establishment and operation No.21GP/KDBH dated 12/12/2002 and Amended License No. 21/GPĐC33/KDBH dated 03/03/2022 by the Minister of Finance	12-12-2002	Ministry of Finance	126 Doi Can, Ba Dinh, Hanoi	12-12-2002			Subsidiary
2	VietinBank Gold and Jewellery Trading Company Limited	-		Business Registration No. 0105011873 issued on 25/11/2010 by Hanoi Department of Planning and Investment, amended for the 9th time on 19/12/2022	25-11-2010	Hanoi Department of Planning and Investment	114 Mai Hac De, Hai Ba Trung, Hanoi	25-11-2010			Subsidiary

No.	Name of organization /individual	Securities trading account (if any)	Position	ID/Business registration No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
3	VietinBank Money Transfer Company Limited	-		Decision No.2187/QD-NHNN dated 06/10/2011 by the State Bank of Vietnam; Business Registration No.0105757686 issued on 03/01/2012 by Hanoi Department of Planning and Investment, amended for the 1st time on 13/03/2015	06-10-2011	The State Bank of Vietnam	126 Doi Can, Ba Dinh, Hanoi	06-10-2011			Subsidiary
4	VieinBank Debt and Asset Management Company Limited (VietinBank AMC)	-		Business Registration No. 0302077030 issued on 20/07/2010 by Ho Chi Minh City Department of Planning and Investment, amended for the 6th time on 12/01/2021	20-07-2010	Hochiminh City Department of Planning and Investment	76 Nguyen Van Cu, Nguyen Cu Trinh, District 1, HCM city	20-07-2010			Subsidiary

No.	Name of organization /individual	Securities trading account (if any)	Position	ID/Business registration No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
5	VietinBank Fund Management Company Limited (VietinBank Capital)	-		Business License No.50/UBCK-GP dated 26/10/2010, amended in license No. 31/GPĐC-UBCK dated 16/03/2012, No. 76/GPĐC-UBCK dated 27/11/2020, No. 105/GPĐC-UBCK dated 03/11/2022 by the State Securities Commission. Business Registration amended for the 13th time dated 09/11/2022 by Hanoi Department of Planning and Investment.	26-10-2010	The State Securities Commission of Vietnam	34 Cua Nam, Hoan Kiem, Hanoi	26-10-2010			Subsidiary
6	VietinBank Leasing Company Limited (VietinBank Leasing)	-		Decision No.53/1998/QĐ-NHNN5 dated 26/01/1998 by the State Bank of Vietnam; Business Registration No.0101047075 issued on 31/08/2009 by Hanoi Department of Planning and Investment, amended for the 11th time dated 01/06/2022.	26-01-1998	The State Bank of Vietnam	16 Phan Dinh Phung, Ba Dinh, Hanoi	26-01-1998			Subsidiary

No.	Name of organization /individual	Securities trading account (if any)	Position	ID/Business registration No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
7	VietinBank Securities Joint Stock Company	-		Business license No.107/UBCK-GP dated 01/07/2009 and latest amended license No. 62/GPĐC-UBCK dated 01/08/2023 by the State Securities Commission.	01-07-2009	The State Securities Commission of Vietnam	Floor 1 to Floor 4, Building N02-T2 Diplomatic Area, Xuan Tao Ward, Bac Tu Liem District, Hanoi	01-09-2000			Subsidiary
8	VietinBank Laos Limited	-		- 068/NHCHDCNDL dated 08/07/2015 by the State Bank of Laos PDR - Business Registration License No. 2947/DKDN dated September 27, 2023 of the State Bank of Laos PDR	08-07-2015	The State Bank of Laos	268 Langxang Street, Hatsady Ward, Chanthabouly, Vientiane, Laos	31-07-2015			Subsidiary
VIII - Associated company:											
1	Indovina Bank	-		License of Establishment and Operation of Joint Venture Bank No.101/GP-NHNN issued by the State Bank of Vietnam on 11/11/2019 (replacement of Business License No.08/NH-GP dated 29/10/1992 by the State Bank of Vietnam)	29-10-1992	The State Bank of Vietnam	97A Nguyen Van Troi, Ward 12, Phu Nhuan District, HCM City	29-10-1992			Associated company

No.	Name of organization /individual	Securities trading account (if any)	Position	ID/Business registration No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
<i>IX - Major shareholder, strategic shareholder:</i>											
1	The State Bank of Vietnam	-		15/SL	05-06-1951	Prime Minister	49 Ly Thai To, HK, Hanoi	03-07-2009			State shareholder, major shareholder
2	MUFG Bank, Ltd.	-		CA6217	08-04-2013	Vietnam Securities Depository	7-1, MARUNOUCHI 2-CHOME, CHIYODA-KU, TOKYO 100-8388	14-05-2013		Report on ownership of major shareholder was publicly disclosed at the link: https://investor.vietinbank.vn/Handlers/DownloadAttachedFile.ashx?NewsID=69011	Major shareholder, strategic shareholder

APPENDIX 03 (Disclosure)

TRANSACTIONS BETWEEN VIETINBANK AND THE AFFILIATED PERSONS OR BETWEEN VIETINBANK AND MAJOR SHAREHOLDERS, INTERNAL PERSONS
AND RELATED PERSON OF INTERNAL PERSON

(For the first half of 2024)

No.	Name of organization/ individual	Relationship	ID/Business registration No., date of issue, place of issue	Address	Time of transactions	Resolutions No. approved by the AGM/BoD (if any, specify date of issue)	Content, quantity, total value of transaction	Note
1	VietinBank Fund Management Company Limited (VietinBank Capital)	VietinBank subsidiary	Business License No.50/UBCK-GP dated 26/10/2010, amended in license No. 31/GPĐC-UBCK dated 16/03/2012, No. 76/GPĐC-UBCK dated 27/11/2020, No. 105/GPĐC-UBCK dated 03/11/2022 by the State Securities Commission. Business Registration amended for the 13th time dated 09/11/2022 by Hanoi Department of Planning and Investment.	34 Cua Nam, Hoan Kiem, Hanoi	31-01-2024	Resolution No. 023/NQ-HDQT-NHCT-VPHDQT1 dated 31/01/2024	Approval of the document amending and supplementing the Connection Contract No. 01/VietinBank-VTBC between VietinBank and VTBC dated 12/9/2022 regarding the provision of support services for opening fund certificate trading accounts at VietinBank Capital (a subsidiary of VietinBank) Document amending and supplementing the contract does not mention transaction value.	
2	VietinBank Laos Limited	- VietinBank subsidiary; - Ms.Pham Thi Thanh Hoai is VietinBank's Board member (from 2019 to now), cum Chairwoman of the Board of Members of VietinBank Laos Limited (from 2021 to now)	- 068/NHCHDCNDL dated 08/07/2015 by the State Bank of Laos PDR - Business Registration License No. 2947/DKDN dated September 27, 2023 of the State Bank of Laos PDR	268 Langxang Street, Hatsady Ward, Chanthabouly, Vientiane, Laos	11-03-2024	Resolution No. 045/NQ-HDQT-NHCT-VPHDQT1 dated 07/03/2024	Approval of the foreign exchange transaction limit contract between VietinBank and VietinBank Laos Limited (a subsidiary of VietinBank) Quantity and transaction value are not provided due to information security.	

No.	Name of organization/ individual	Relationship	ID/Business registration No., date of issue, place of issue	Address	Time of transactions	Resolutions No. approved by the AGM/BoD (if any, specify date of issue)	Content, quantity, total value of transaction	Note
3	VietinBank Insurance Joint Stock Corporation (VBI)	VietinBank subsidiary	License of establishment and operation No.21GP/KDBH dated 12/12/2002 and Amended License No. 21/GPĐC33/KDBH dated 03/03/2022 by the Minister of Finance	126 Doi Can, Ba Dinh, Hanoi	- 02 Guarantee Contract: 22/03/2024 and 29/03/2024 - 02 Asset Mortgage Contract: 22/03/2024 and 29/03/2024 - 01 Valuable Paper Pledge Contract: 22/03/2024	Resolution No. 063/NQ-HDQT-NHCT-VPHDQT1 dated 21/03/2024	<p>1. Content: Approval of the Guarantee Contract, Asset Mortgage Contract, Valuable Paper Pledge Contract between VietinBank and VBI (a subsidiary of VietinBank)</p> <p>2. Quantity: 5</p> <p>3. Total value of transaction: - 02 Guarantee Contract: 353 billion VND - 01 Valuable Paper Pledge Contract: 10 billion VND - 02 Asset Mortgage Contract: 280 billion VND</p>	
4	VietinBank Insurance Joint Stock Corporation (VBI)	VietinBank subsidiary	License of establishment and operation No.21GP/KDBH dated 12/12/2002 and Amended License No. 21/GPĐC33/KDBH dated 03/03/2022 by the Minister of Finance	126 Doi Can, Ba Dinh, Hanoi	Not execute yet	Resolution No. 149/NQ-HDQT-NHCT-VPHDQT1 dated 08/05/2024	Approval of the Document amending and supplementing the Insurance Agency Contract with VBI (a subsidiary of VietinBank) Document amending and supplementing the contract does not mention quantity and transaction value.	
5	VietinBank Securities Joint Stock Company (CTS)	VietinBank subsidiary	Business license No.107/UBCK-GP dated 01/07/2009 and latest amended license No. 62/GPĐC-UBCK dated 01/08/2023 by the State Securities Commission.	Floor 1 to Floor 4, Building N02-T2 Diplomatic Area, Xuan Tao Ward, Bac Tu Liem District, Hanoi	12-06-2024	Resolution No. 176/NQ-HDQT-NHCT-VPHDQT1 dated 31/05/2024	Approval of the contract to launch collection service via Identity Account with VietinBank Securities Joint Stock Company - CTS (a subsidiary of VietinBank) The contract to launch service does not mention the transaction value.	

No.	Name of organization/ individual	Relationship	ID/Business registration No., date of issue, place of issue	Address	Time of transactions	Resolutions No. approved by the AGM/BoD (if any, specify date of issue)	Content, quantity, total value of transaction	Note
6	VietinBank Gold and Jewellery Trading Company Limited (VietinBank Gold & Jewellery)	VietinBank subsidiary	Business Registration No. 0105011873 issued on 25/11/2010 by Hanoi Department of Planning and Investment, amended for the 9th time on 19/12/2022	114 Mai Hac De, Hai Ba Trung, Hanoi	31-05-2024	Resolution No. 178/NQ-HDQT-NHCT-VPHDQT1 dated 31/05/2024	Approval of the Authorization Contract for the sale of gold bars between VietinBank and VietinBank Gold & Jewellery (a subsidiary of VietinBank) Transaction value is not specified in the contract of authorization	
7	VietinBank Leasing Company Limited (VietinBank Leasing)	VietinBank subsidiary	Decision No.53/1998/QD-NHNN5 dated 26/01/1998 by the State Bank of Vietnam; Business Registration No.0101047075 issued on 31/08/2009 by Hanoi Department of Planning and Investment, amended for the 11th time dated 01/06/2022.	16 Phan Dinh Phung, Ba Dinh, Hanoi	18-06-2024	Resolution No. 208/NQ-HDQT-NHCT-VPHDQT1 ngày 18/06/2024	Approval of the interbank transaction limit contract between VietinBank and VietinBank Leasing (a subsidiary of VietinBank) Quantity and transaction value are not provided due to information security.	
8	VietinBank Insurance Joint Stock Corporation (VBI)	VietinBank subsidiary	License of establishment and operation No.21GP/KDBH dated 12/12/2002 and Amended License No. 21/GPĐC33/KDBH dated 03/03/2022 by the Minister of Finance	126 Doi Can, Ba Dinh, Hanoi	Sign contract on 28/06/2024; Effective from 01/07/2024 to 30/06/2025	Resolution No. 229/NQ-HDQT-NHCT-VPHDQT1 dated 28/06/2024	1. Content: Approval of comprehensive banking and cybercrime insurance contract (BBB&ECC) in VietinBank system for the period 2024-2025 with VietinBank Insurance Corporation (a subsidiary of VietinBank) 2. Quantity: 1 3. Transaction value: Insurance premium: 723,492 USD equivalent to 18,427,341,240 VND (according to the exchange rate on the invoice date: 03/07/2024)	

APPENDIX 04 (Disclosure)

TRANSACTIONS BETWEEN VIETINBANK AND THE COMPANY THAT ITS MEMBERS OF BODS, THE SUPERVISORY BOARD, GENERAL DIRECTOR HAS BEEN FOUNDING MEMBERS OR MEMBERS OF BODS OR GENERAL DIRECTOR IN THREE (03) LATEST YEARS (CALCULATED AT THE TIME OF REPORTING)

(For the first half of 2024)

No.	Name of organization/ individual	Relationship	ID/Business registration No., date of issue, place of issue	Address	Time of transactions	Number of resolutions of the AGM/BoD adopted (if any, specify date of issue)	Content, quantity, total value of transaction	Note
1	VietinBank Laos Limited	- VietinBank subsidiary; - Ms.Pham Thi Thanh Hoai is VietinBank's Board member (from 2019 to now) , cum Chairwoman of the Board of Members of VietinBank Laos Limited (from 2021 to now)	- 068/NHCHDCNDL dated 08/07/2015 by the State Bank of Laos PDR - Business Registration License No. 2947/DKDN dated September 27, 2023 of the State Bank of Laos PDR	268 Langxang Street, Hatsady Ward, Chanthabouly, Vientiane, Laos	11-03-2024	Resolution No. 045/NQ-HDQT-NHCT-VPHDQT1 dated 07/03/2024	Approval of the foreign exchange transaction limit contract between VietinBank and VietinBank Laos Limited (a subsidiary of VietinBank) Quantity and transaction value are not provided due to information security.	

APPENDIX 05 (Disclosure)
LIST OF INTERNAL PERSONS AND THEIR AFFILIATED PERSONS
(At 30/06/2024)

No.	Name	Securities trading account (if any)	Position	ID No./ Passport No.	Date of issue	Place of issue	Address	Number of shares held (end of period)	Ownership percentage (end of period)	Note
I- Affiliated persons of BoDs' members:										
1	Tran Minh Binh		Chairman of BoD Legal Representative					3,468	0.000065%	
1.01	Tran Minh Quyet		Father							Passed away
1.02	La Thi Hien		Mother							Passed away
1.03	Nguyen Quang Due		Father - in - law					-	0%	
1.04	Nguyen Thi Ly		Mother - in - law					-	0%	
1.05	Nguyen Thi Le Hang		Wife					-	0%	
1.06	Tran Quang Phu		Son					-	0%	
1.07	Tran Khanh Uyen		Daughter					-	0%	
1.08	Tran Thanh Tam		Sister					-	0%	
1.09	Phan Trong Tien		Brother - in - law					-	0%	
1.10	Tran Thien Ly		Sister					-	0%	
1.11	Le Thanh Liem		Brother - in - law					-	0%	
1.12	Tran Mai Huong		Sister					-	0%	
1.13	Duong Minh Hai		Brother - in - law					-	0%	
1.14	Tran Thanh Thuy		Sister					-	0%	
1.15	Tran Manh Tien		Brother					-	0%	
1.16	Mai Thi Quy		Sister - in - law					-	0%	
1.17	Tran Quoc Hiep		Brother					-	0%	
1.18	Duong Thi Do Quyen		Sister - in - law					-	0%	
1.19	The State Bank of Vietnam		Representatives of 40% state ownership at VietinBank					1,384,670,513	25.79%	
2	Tran Van Tan		Board member					-	0%	
2.01	Truong Thi Y		Mother					-	0%	
2.02	Vu Xuan Lich		Father - in - law					-	0%	
2.03	Dinh Thi Thin		Mother - in - law					-	0%	
2.04	Vu Thi Thu Ha		Wife					-	0%	
2.05	Tran Truong Thao Van		Daughter					-	0%	
2.06	Tran Thi Phuong Anh		Daughter					-	0%	

No.	Name	Securities trading account (if any)	Position	ID No./ Passport No.	Date of issue	Place of issue	Address	Number of shares held (end of period)	Ownership percentage (end of period)	Note
2.07	The State Bank of Vietnam		Representatives of 30% state ownership at VietinBank					1,038,502,885	19.34%	
3	Le Thanh Tung		Board member					-	0%	
3.01	Le Van Tuan		Father					-	0%	Passed away
3.02	Nguyen Thi Lan		Mother					-	0%	
3.03	Le Nhu Hung		Father-in-law					-	0%	
3.04	Nguyen Thi Minh Chau		Mother-in-law					-	0%	
3.05	Le Thi Thanh Nga		Wife					-	0%	
3.06	Le Viet		Son					-	0%	
3.07	Le Bao		Son					-	0%	Childhood No ID
3.08	Le Thi Quynh Hoa		Sister					-	0%	
3.09	The State Bank of Vietnam		Representatives of 30% state ownership at VietinBank					1,038,502,885	19.34%	
4	Nguyen The Huan		Board member					-	0%	
4.01	Nguyen The Hung		Father					-	0%	
4.02	Chu Thi Dao		Mother					-	0%	
4.03	Nguyen Ngoc Chuong		Father - in - law					-	0%	Passed away
4.04	Dang Thi Lien		Mother - in - law					-	0%	Passed away
4.05	Nguyen Thi Phuong Chi		Wife					-	0%	
4.06	Nguyen Anh Phuong		Daughter					-	0%	
4.07	Nguyen Hoang Nam		Son					-	0%	
4.08	Nguyen Thuy Nga		Sister					-	0%	
4.09	Hoang Van Lien		Brother - in - law					-	0%	
4.10	Nguyen The Huy		Brother					-	0%	
4.11	Pham Thi Minh Tu		Sister - in - law					-	0%	
5	Pham Thi Thanh Hoai		Board member					131	0.000002%	
5.01	Pham Tien Hung		Father					-	0%	
5.02	Nguyen Thi Nhuan		Mother					-	0%	
5.03	Pham Thi Thanh Huyen		Sister					-	0%	
5.04	Huynh Duc Thang		Brother - in - law					-	0%	
5.05	Pham Thi Thuy Hang		Sister					-	0%	
5.06	VietinBank LaosLimited		Chairwoman of BoD					-	0%	
6	Nguyen Duc Thanh		Board Member					-	0%	
6.01	Nguyen Tuyen		Father					-	0%	Passed away
6.02	Tran Thi Nhuong		Mother					-	0%	Passed away
6.03	Hoang Viet Thang		Father - in - law					-	0%	
6.04	Tran Thi Phuong Thao		Mother - in - law					-	0%	
6.05	Hoang Viet Phuong		Wife					7	0.0000001%	

No.	Name	Securities trading account (if any)	Position	ID No./ Passport No.	Date of issue	Place of issue	Address	Number of shares held (end of period)	Ownership percentage (end of period)	Note
6.06	Nguyen Minh Nga		Daughter					-	0%	
6.07	Nguyen Hoang Quan		Son					-	0%	Childhood No ID
6.08	Nguyen Duc Huyen		Brother					-	0%	Passed away
6.09	Duong Thi Thai Ha		Sister - in - law					-	0%	
6.10	Nguyen Thi Khoa		Sister					-	0%	
6.11	Nguyen Duc Huy		Brother					-	0%	
6.12	Nguyen Thi Hien		Sister - in - law					-	0%	
6.13	Nguyen Duc Thanh		Brother					-	0%	
6.14	Do Thi Lan		Sister - in - law					-	0%	
6.15	Nguyen Thi Minh Thuy		Sister					-	0%	
6.16	Nguyen Viet Hoa		Brother - in - law					-	0%	
7	Koji Iriguchi		Board member Deputy General Director					-	0%	
7.01	Shinichi Iriguchi		Father					-	0%	Driving license
7.02	Haruko Iriguchi		Mother					-	0%	Driving license
7.03	Jun Wakamiya		Father - in - law					-	0%	Passed away
7.04	Kazuko Wakamiya		Mother - in - law					-	0%	Driving license
7.05	Kyoko Iriguchi		Wife					-	0%	
8	Takeo Shimotsu		Board Member					-	0%	
8.01	Kenji Shimotsu		Wife					-	0%	Insurance card
8.02	Shinobu Shimotsu		Mother					-	0%	Insurance card
8.03	Ikuo Goto		Father - in - law					-	0%	
8.04	Hatsue Goto		Mother - in - law					-	0%	Insurance card
8.05	Ayako Shimotsu		Wife					-	0%	
8.06	Arisa Shimotsu		Daughter					-	0%	Insurance card
8.07	Kosei Shimotsu		Son					-	0%	Insurance card
8.08	Rui Shimotsu		Son					-	0%	Insurance card
8.09	Tomone Miwa		Sister					-	0%	
8.10	Teruyuki Miwa		Brother-in-law					-	0%	
9	Cat Quang Duong		Independent Board Member					-	0%	
9.01	Nguyen Thi Bich Huong		Wife					34,534	0.0006%	
9.02	Cat Van Son		Father					-	0%	Passed away
9.03	Nguyen Phuoc Dieu Hy		Mother					-	0%	
9.04	Nguyen Tu Quy		Father-in-law					-	0%	Passed away
9.05	Le Thi Hien		Mother-in-law					-	0%	
9.06	Cat Bao Chau		Daughter					-	0%	
9.07	Cat Huong Giang		Daughter					-	0%	
9.08	Cat Nguyen Hung		Brother					-	0%	
9.09	Pham Thi Lam		Sister-in-law					0	0%	
9.10	Cat Dieu Huong		Sister					0	0%	
9.11	Phuong Minh Hoa		Brother-in-law					0	0%	

No.	Name	Securities trading account (if any)	Position	ID No./ Passport No.	Date of issue	Place of issue	Address	Number of shares held (end of period)	Ownership percentage (end of period)	Note
9.12	Cat Dieu Ha		Sister					0	0%	
9.13	Le Duc Cuong		Brother-in-law					0	0%	

II- Affiliated persons of Board of Management's members:

1	Do Thanh Son		Deputy General Director in charge of BOM					125	0.0000023%	
1.01	Do Van Hoan		Father					-	0%	
1.02	Le Thi Ngoc Anh		Mother					-	0%	
1.03	Dam Van Chung		Father-in-law					-	0%	
1.04	Vo Thi Thu Van		Mother-in-law					-	0%	
1.05	Dam Thi Thu Hoa		Wife					-	0%	
1.06	Do Anh Nguyen		Son					-	0%	
1.07	Do Thanh Nguyen		Son					-	0%	
1.08	Do Thi Bich Lien		Sister					-	0%	
1.09	Bui Duc Song		Brother-in-law					-	0%	
1.10	Do Thi Bich Loan		Sister					-	0%	
1.11	Nguyen Xuan Thanh		Brother-in-law					-	0%	
1.12	Do Thi Thanh Thuy		Sister					-	0%	
1.13	Tran Quoc Tuan		Brother-in-law					-	0%	
1.14	Do Thi Thanh Thuy		Sister					-	0%	
1.15	Do Thi Tuyet Mai		Sister					-	0%	
1.16	Ho Ngoc Tung		Brother-in-law					-	0%	
1.17	Do Thi Hong Mi		Sister					-	0%	
1.18	Do Thanh Trung		Brother-in-law					-	0%	
1.19	VietinBank Debt and Asset Management Company Limited (VietinBank AMC)		Chairman					-	0%	
2	Koji Iriguchi		Board member Deputy General Director					-	0%	

(Please refer to Section I.7)

3	Tran Cong Quynh Lan		Deputy General Director Head of IT Division					-	0%	
3.01	Tran Cong Minh		Father					-	0%	
3.02	Cao Thi Kim Dung		Mother					-	0%	
3.03	Huynh Van Cuong		Father - in - law					-	0%	Passed away
3.04	Pham Thi Phi		Mother - in - law					-	0%	
3.05	Huynh Nu Tram Anh		Wife					-	0%	
3.06	Tran Cong Quoc Khai		Son					-	0%	Childhood No ID

No.	Name	Securities trading account (if any)	Position	ID No./ Passport No.	Date of issue	Place of issue	Address	Number of shares held (end of period)	Ownership percentage (end of period)	Note
3.07	Tran Cong Khai Ky		Son					-	0%	Childhood No ID
3.08	Tran Cong Duy Long		Brother					-	0%	
3.09	Tran Thu Trang		Sister - in - law					-	0%	
3.10	Tran Cong Thien Qui		Brother					-	0%	
3.11	Tran Nguyen Thuy Duong		Sister - in - law					-	0%	
3.12	Tran Cong Bao Phung		Brother					-	0%	
3.13	Tran Kim Quynh Tien		Sister					-	0%	
4	Nguyen Dinh Vinh		Deputy General Director					-	0%	
4.01	Nguyen Dinh Thao		Father					-	0%	
4.02	Vo Thi Hanh Thuc		Mother					-	0%	
4.03	Mai Trong Thang		Father - in - law					-	0%	
4.04	Nguyen Thi Kim Dung		Mother - in - law					-	0%	
4.05	Mai Thanh Loan		Wife					-	0%	
4.06	Nguyen Mai Chi		Daughter					-	0%	Childhood No ID
4.07	Nguyen Dinh Bach		Son					-	0%	Childhood No ID
4.08	Nguyen Dinh Son		Brother					-	0%	
4.09	Nguyen Vu Dieu Nga		Sister - in - law					-	0%	
4.10	VietinBank Money Transfer Company Limited		Chairman					-	0%	
5	Le Nhu Hoa		Deputy General Director					39,937	0.000744%	
5.01	Le Son Xuan		Father					407	0.000008%	
5.02	Tran Thi Thuoc		Mother					-	0%	
5.03	Pham Van Cu		Father - in - law							Passed away
5.04	Nguyen Thi Ham		Mother - in - law							Passed away
5.05	Pham Duy Binh		Husband					-	0%	
5.06	Pham Thi Thuy Duong		Daughter					-	0%	
5.07	Pham Thanh Tung		Son-in-law					-	0%	
5.08	Pham Thi Bao Chau		Daughter					-	0%	
5.09	Pham Duc Luong		Son					-	0%	
5.10	Le Thanh Mai		Sister					24,817	0.000462%	
5.11	Nguyen Huy Oanh		Brother - in - law					-	0%	
5.12	Le Hai Hiep		Brother					-	0%	
5.13	Do Thi Thu Hang		Sister - in - law					-	0%	
6	Le Duy Hai		Deputy General Director					22,348	0.000416%	
6.01	Le Duy Giao		Father					-	0%	
6.02	Le Thi Hiep		Mother					-	0%	
6.03	Le Duy Duc Minh		Son					-	0%	
6.04	Le Duy Duc Anh		Son					-	0%	

No.	Name	Securities trading account (if any)	Position	ID No./ Passport No.	Date of issue	Place of issue	Address	Number of shares held (end of period)	Ownership percentage (end of period)	Note
6.05	Le Thi Huong		Sister					-	0%	
6.06	Dam Trong Thang		Brother-in-law					-	0%	
6.07	Le Thi Hoang		Sister					-	0%	
6.08	Hoang Viet Phuong		Brother-in-law					-	0%	
6.09	VietinBank Fund Management Company Limited (VietinBank Capital)		Chairman of the Members' Council					-	0%	
7	Nguyen Tran Manh Trung		Deputy General Director					3	0.00000006%	
7.01	Nguyen Le Manh Son		Father					-	0%	
7.02	Tran Thi Bich Thuy		Mother					-	0%	
7.03	Le Van Dan		Father-in-law					-	0%	
7.04	Nguyen Thi Mai		Mother-in-law					-	0%	
7.05	Le Thi Minh Ngoc		Wife					-	0%	
7.06	Nguyen Lam Vu		Son					-	0%	Childhood No ID
7.07	Nguyen Thien Huong		Son					-	0%	Childhood No ID
7.08	Nguyen Tran Trung Quan		Brother					-	0%	

III- Affiliated persons of Members of the Supervisory Board

1	Le Anh Ha		Head of the Supervisory Board					4,476	0.000083%	
1.01	Le Huu Dien		Father							Passed away
1.02	Dang Thi Luyen		Mother					-	0%	
1.03	Trinh Van Tan		Father - in - law					-	0%	
1.04	Nguyen Thi Oanh		Mother - in - law					-	0%	
1.05	Trinh Quoc Hung		Husband					-	0%	
1.06	Trinh Ha Anh		Child					-	0%	
1.07	Le Huu Tham		Brother					-	0%	
1.08	Le Thi Ha		Sister-in-law					-	0%	
1.09	Le Huu Thanh		Brother					-	0%	
1.10	Nguyen Thuy Ha		Sister-in-law					-	0%	
2	Nguyen Thi Anh Thu		Member of the Supervisory Board					1,493	0.000028%	
2.01	Nguyen Van Thang		Father							Passed away
2.02	Bui Thi My		Mother					-	0%	
2.03	Le Dinh Xuong		Father - in - law					-	0%	
2.04	Nguyen Thi Van Ha		Mother - in - law					-	0%	
2.05	Le Anh Tuan		Husband					-	0%	
2.06	Le Tuan Minh		Son					-	0%	

No.	Name	Securities trading account (if any)	Position	ID No./ Passport No.	Date of issue	Place of issue	Address	Number of shares held (end of period)	Ownership percentage (end of period)	Note
2.07	Le Tuan Dung		Son					-	0%	
2.08	Nguyen Cong Thanh		Brother					-	0%	
2.09	Le Bich Thuy		Sister-in-law					-	0%	
2.10	Nguyen Quoc Trung		Brother					-	0%	
2.11	Tran Thi Le Mai		Sister-in-law					-	0%	
3	Pham Thi Thom		Member of the Supervisory Board					-	0%	
3.1	Pham Can		Father							Passed away
3.2	Pham Thi Khuya		Mother					-	0%	
3.3	Kim Ngoc		Father - in - law							Passed away
3.4	Nguyen Thi My		Mother - in - law							Passed away
3.5	Kim Duc Cuong		Husband					-	0%	
3.6	Kim Duc Minh		Son					-	0%	
3.7	Kim Huong Thao		Daughter					-	0%	
3.8	Pham Anh Tuan		Brother					-	0%	
3.9	Dao Thi Hien		Sister-in-law					-	0%	
3.10	Pham Thi Hoa		Sister							Passed away
3.11	Dinh Dang Dinh		Brother-in-law					-	0%	
3.12	Pham Ngoc Chung		Brother					-	0%	
3.13	Nguyen Thi Hoa		Sister-in-law					-	0%	
3.14	Pham Tien Thuc									Passed away
3.15	Nguyen Thuy Hai		Sister-in-law					-	0%	
3.16	Pham Van Tan		Brother					-	0%	
3.17	Vu Thi Nguyet		Sister-in-law					-	0%	
3.18	Pham Ngoc Thanh		Brother					-	0%	
3.19	Nguyen Thi Oanh		Sister-in-law							Passed away
3.20	Pham Thi Ngo		Sister					-	0%	
3.21	Ta Van Hien		Brother-in-law							Passed away
3.22	Pham Thu Tam		Brother					13	0.000002%	
3.23	Pham Thi Tra Ly		Sister-in-law					-	0%	
IV- Affiliated persons of Chief Accountant:										
1	Nguyen Hai Hung		Chief Accountant					27,816	0.000518%	
1.01	Nguyen Minh Chau		Father							Passed away
1.02	Nguyen Thi Lieu		Mother					-	0%	
1.03	Tran Van Bay		Father - in - law							Passed away
1.04	Pham Thi Lien		Mother - in - law					-	0%	
1.05	Tran Thanh Phuong		Wife					4,131	0.000077%	
1.06	Nguyen Phuong Ngan		Daughter					-	0%	
1.07	Nguyen Minh Nghia		Son					-	0%	
1.08	Nguyen Manh Ha		Brother					-	0%	
1.09	Nguyen Huong Lan		Sister-in-law					-	0%	
V- Affiliated persons of Head of Finance Division:										

No.	Name	Securities trading account (if any)	Position	ID No./ Passport No.	Date of issue	Place of issue	Address	Number of shares held (end of period)	Ownership percentage (end of period)	Note
1	Nguyen Bao Thanh Van		Head of Finance Division					18,384	0.000342%	
1.01	Nguyen Quoc Bao		Father							Passed away
1.02	Nguyen Thi Thanh		Mother					-	0%	
1.03	Nguyen Bao Ha		Sister					-	0%	
1.04	Nguyen Minh Thanh		Brother-in-law					-	0%	
1.05	Nguyen Thi Van Anh		Sister					721	0.000013%	
1.06	Nguyen Duong Khoa		Brother-in-law					-	0%	
VI - Affiliated persons of Person in charge of Corporate Governance cum Company Secretary:										
1	Tran Minh Duc		Chief of BoD office cum Director of Secretariat to the Board & Investor Relation cum Person in charge of Corporate Governance cum Company Secretary					5,400	0.0001%	
1.01	Tran Thai Bai		Father					-	0%	Passed away
1.02	Pham Thi Mao		Mother					-	0%	
1.03	Pham Minh Duc		Father-in-law					-	0%	Passed away
1.04	Ngo Thi Ngoc Can		Mother-in-law					-	0%	
1.05	Pham Thi Hoang Anh		Wife					-	0%	
1.06	Tran Chinh Minh		Son					-	0%	
1.07	Tran Minh Anh		Daughter					-	0%	
1.08	Tran Thi Thu Huong		Sister					-	0%	
1.09	Vu Phuong Lam		Brother-in-law					-	0%	
1.10	KDE Law Firm Company Limited		Director					-	0%	
1.11	TTV Holdings Joint Stock Company		General Director					-	0%	
1.12	Legacy Holdings Joint Stock Company		Chairman of the BoD					-	0%	
1.13	Athena Education and Training Joint Stock Company		Director					-	0%	
1.14	Dai Minh Trading Company Limited		Director					-	0%	